

Adaptive Signal to Noise Ratio Scalable
Analog Front-End Continuous Time Sigma Delta Converter

For Digital Hearing Aids

by

Ilker Deligoz

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Approved November 2010 by the
Graduate Supervisory Committee:

Sayfe Kiaei, Chair
Bertan Bakkaloglu
Bahar Jalali-Farahani
James Aberle
Junseok Chae

ARIZONA STATE UNIVERSITY

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ABSTRACT

A dual-channel directional digital hearing aid (DHA) front end using Micro Electro Mechanical System (MEMS) microphones and an adaptive-power analog processing signal chain is presented. The analog front end consists of a double differential amplifier (DDA) based capacitance to voltage conversion circuit, 40dB variable gain amplifier (VGA) and a continuous time sigma delta analog to digital converter (CT - $\Sigma\Delta$ ADC). Adaptive power scaling of the 4th order CT - $\Sigma\Delta$ achieves 68dB SNR at $120\mu\text{W}$, which can be scaled down to 61dB SNR at $67\mu\text{W}$. This power saving will increase the battery life of the DHA.

DEDICATION

I dedicate this dissertation to my family, especially my mother and father for their infinite support and sacrifice. To my wife for inspiring me.

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I. INTRODUCTION

Hearing loss is one of the most common human impairments in our time. Adrian Davis of the British MRC Institute of Hearing research estimates at year 2015 more than seven hundred million people in the world will suffer from mild hearing loss [1]. Depending on the severity of the hearing loss, most of these patients can be helped by a hearing aid device. With the advances in the Integrated System technologies, Hearing aid devices are improving in terms of performance, size, quality and battery life. State of the art hearing aid devices are completely in the canal (CIC), where as the full system fits inside the ear cavity. The first generation of hearing aid devices were introduced in 1905 and were analog devices comprising of fixed gain amplifiers [2] and the hearing loss of the patient was compensated mostly by amplifying the audio signal. The gain compensation approach is not adequate as hearing problems require amplitude and frequency compensation, directionality (phase, and space), and noise reduction (not only background white noise, but frequency dependent color noise reduction). The next generation of hearing aid devices was introduced in 1971 which implemented analog frequency compensation, by using a bank of band-pass filters in parallel [3]. This approach improved the quality but was power hungry and did not correct other issues (noise, directionality, differential noise cancelation, etc.). The breakthrough in this area came around 1986 with the development of digital hearing aids (DHA) [4] by applying digital signal

processing (DSP) methods for filtering, amplitude/frequency compensation, and adaptive noise cancellation techniques.

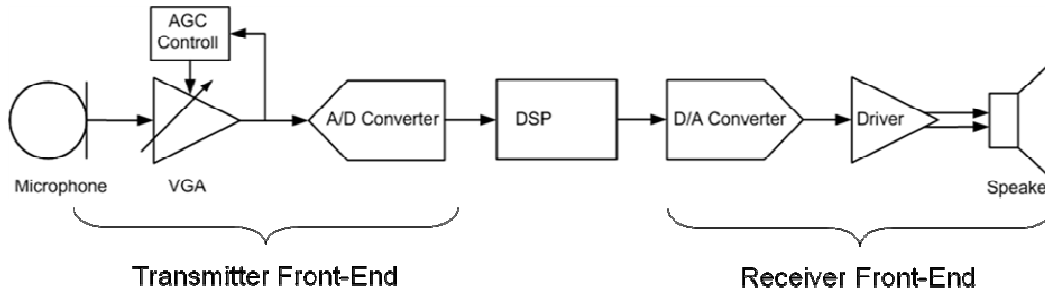


Fig. 1 DHA System Block Diagram.

A typical modern DHA system is shown in Fig. 1, which consists of Front-End (Typically labeled as Transmitter Front-End), DSP, and Receiver Front-End. Transmitter Front-End receives the input sound signal, digitizes the signal, and the DSP processes the signal. The digital audio signal is sensed by a microphone, which converts acoustic signal to electrical signal. The Variable Gain Amplifier (VGA) adjusts the signal level to an appropriate power level for the Analog to Digital Conversion (ADC). Finally, the digital bit stream from the output of the ADC is processed by the DSP. The Receiver Front-End converts the digitally processed signal to analog which drives the DHA speaker.

One of the major short comings of the existing DHA is the lack of audio recognition in a noisy environment, where the audio signal is saturated due to the ambient background noise. In this environment, the background noise (other audio sounds) interferes with the signal and understanding conversation is hard for the patients [1]. This can be resolved by employing array signal processing

methods with multiple-microphone sensor arrays to reduce interference and achieve directionality [5]-[6]. Directional DHA uses multiple microphones that can also help in the identification of the source signal direction. For example, P. M. Peterson in [5], used array antenna beam forming techniques to give directionality, thus improve the sound quality of the hearing aid systems. This paper shows that, compared to a single microphone system, two-microphone beam former architecture achieves the same 50 percent keyword intelligibility with 30dB lower target-to-interference ratio.

Multiple microphones using conventional electret microphones for compact hearing aids, like Completely in the Canal (CIC) or In the Canal (ITC), are hard to implement due to the microphone size. Human ear canal is approximately 7 mm in diameter, assuming 1mm packaging; 5mm is left to fit two microphones. State of the art conventional electret microphones are 2.6mm in diameter and 2.6mm length [7]. Realistically it is not possible to fit two microphones in an ear canal. Furthermore, Multi-microphone DHA requires precise matching of the microphone and the analog front end (AFE) of each channel. For 10 dB noise cancellation, the output voltage magnitude of the two microphones (AFE) should match better than +/- 0.5 dB [5]. The size and matching requirements makes miniature MEMS microphones very attractive for multi microphone hearing aids

A. Digital Hearing Aid System Architecture

Digital hearing aids have many advantages to the analog counter parts; the most important aspect is the high programmability, which is the customized tuning capability for individual patient needs [8] - [11]. Another major advantage of DHAs is implementing interfere noise reduction algorithms [12].

In order to measure the performance of the various DHA systems, we will review the parameters to measure the quality of the signal.

Sound Pressure Level (SPL): The intensity of the sound wave is called Sound Pressure Level which has the unit of Pascal (Pa) in SI system. SPL is commonly used in logarithmic (dB) scale relative to the reference signal of the lowest sound level that a healthy human can hear (20 μ Pa):

$$\text{dBSPL} = 20 \times \log(P/P_{ref}) \quad (1)$$

In order to measure the sound level logarithmic scale is used because human hearing has a very wide range, and the sound sense in humans is in logarithmic nature. Minimum hearable sound (hearing threshold) is a function of frequency. Fig. 2 shows the information on the sound spectrum and human hearing threshold. It can be seen that the hearing threshold is at its lowest at the center frequency and it goes up both at low frequencies and high frequencies. Regular conversational speech accrues in the frequency range of 100 Hz - 10 KHz, and amplitude range of 25 – 85 dB SPL. The hearing discomfort starts at 120 dB SPL and 140 dB SPL is the threshold of pain. The designed hearing aid is

targeted to cover the 120 dB dynamic range and the frequencies up to 10 KHz for better hearing comfort.

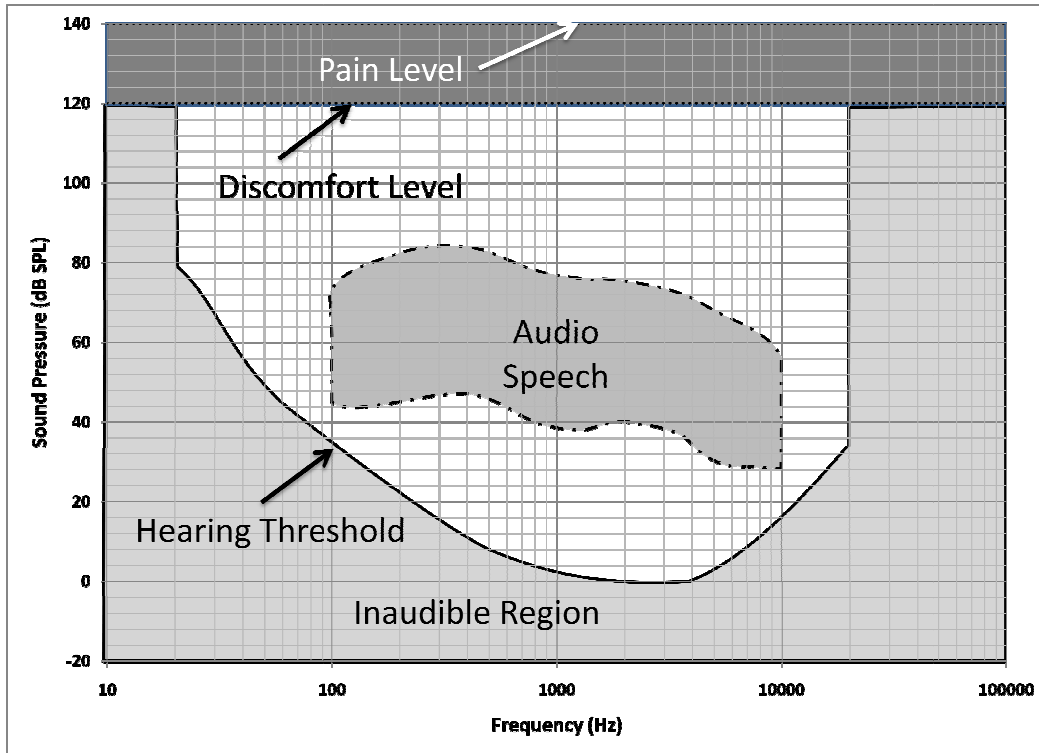


Fig. 2 Auditory threshold of a healthy human and speech spectrum.

Another major concern in hearing aid design is the distortion. If the circuit nonlinearities are high, it would be hard for the patient to understand the conversation speech. The Total Harmonic Distortion (THD) for a hearing aid should be less than 0.001% (-60 dB) for conversation speech sound levels (<80 dB SPL) and above this sound level it should be less than 0.01% (-40 dB).

B. Front-End System Architecture and Requirements

Table I summarizes the specifications for a hearing aid. From these specifications requirements for each building block can be derived.

Frequency Band	300 Hz – 10 KHz
Amplitude Range	0 – 120 dB SPL
Dynamic Range	120 dB
THD	
Input < 80 dB SPL	Less than 0.001% (-60 dB)
Input > 80 dB SPL	Less than 0.01% (-40 dB)

Table I Design Objectives for Hearing Aids.

The Architecture of the proposed system is shown in Fig. 3. The architecture is a dual channel adaptive Power and Signal-to-Noise Ratio (SNR) TFE. Each channel of the TFE is consists of a MEMS microphone, Capacitance to Voltage (C2V) Readout Circuit, a VGA, adaptive 4th order CT - $\Sigma\Delta$ modulator, the decimation filter and directional array process.

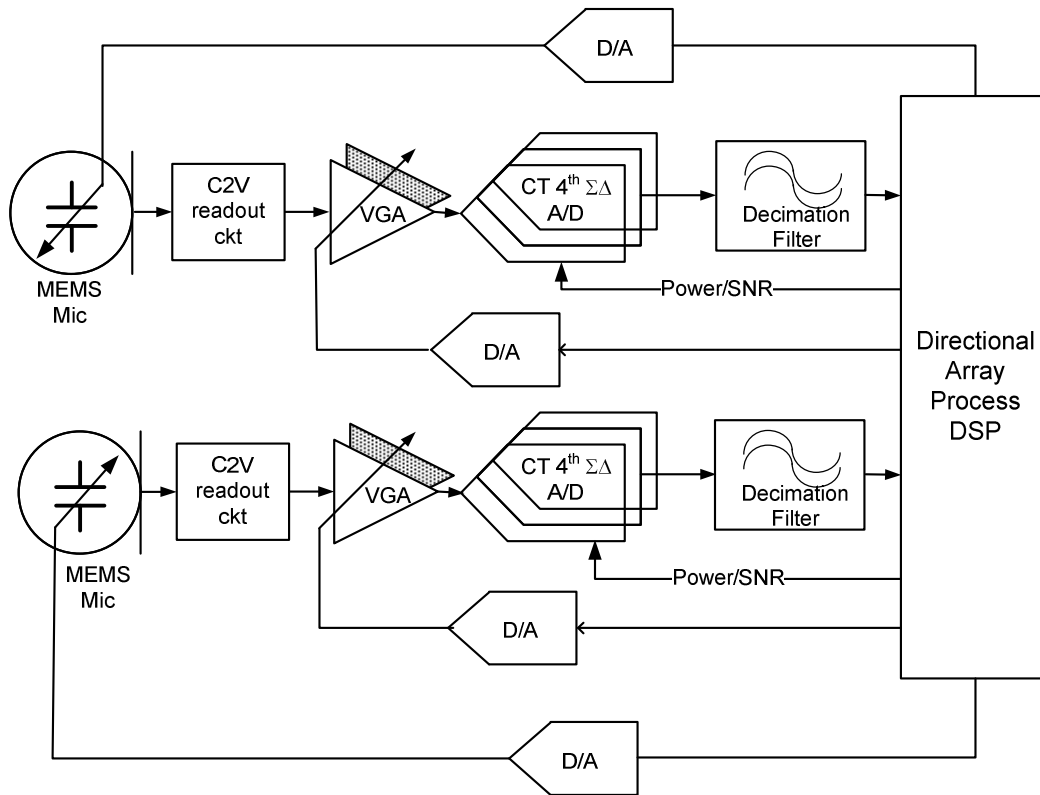


Fig. 3 Implemented DHA Architecture.

C. Contributions: Adaptive Power Scaling of ADC

The contribution of this thesis is development and implementation of the power / SNR scaling of the $\Sigma\Delta$ ADC used. The details of the scaling architecture are discussed in chapter 4. The scaling shows no hear-able artifacts, where the SNR is scaled from 90 dB to 81 dB, with total power consumption from 120 μ W to 62 μ W by 3 dB steps.

Received Audio Signal Dynamic range shows different characteristics at different environments. If the received signal power and dynamic range is reduced, the front-end ADC system is adjusted to reduce the power. Fig. 4 shows

the spectrum of a conversation in quiet environment. Noise floor of this waveform is about 0 dB-SPL and dynamic range of the signal is about 65 dB-SPL. Fig. 5 shows the spectrum of the same conversation in a noisy environment. The signal power is about 10 dB-SPL higher but the noise floor is also increased to 25 dB-SPL, resulting in a lower dynamic range of 55dB. If we used a conventional hearing aid, it should accommodate a signal range from 0 dB-SPL to 100 dB-SPL, which requires a dynamic range of 100 dB. On the other hand, by adjusting the power level, and the SNR in a noisy environment, significant power saving can be achieved.

	Conventional AFE		Proposed Adaptive AFE	
	Quite Environment	Noisy Environment	Quite Environment	Noisy Environment
Maximum Input Sound Level	65 dB-SPL	80 dB-SPL	65 dB-SPL	80 dB-SPL
ADC DR	70	70	70	61
VGA Setting	10 dB	0 dB	10 dB	0 dB
Input Referred Noise Floor	0 dB-SPL	10 dB-SPL	0dB-SPL	19 dB-SPL
Required DR	65 dB	55 dB	65 dB	55 dB
Used DR	70 dB	70 dB	70 dB	61 dB
Power Saving	0	0	0	58 μ W

Table II Power scaling for quite and noisy environments.

Reducing the SNR of the DHA in a noisy environment would be seamless to the patient. Lowering the SNR of Analog Front End (AFE) would save power, which will increase the battery life of the DHA. On the other side, changing any configuration of the DHA is prone to transient noises like clicking or popping

sounds, or system instability. Implementing the power/SNR scalability is a challenge in current DHA systems.

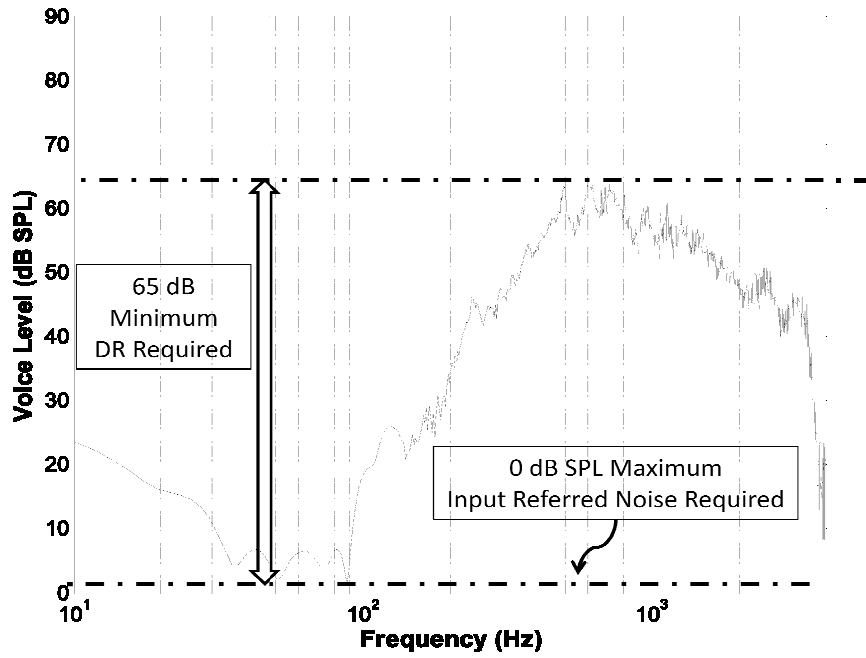


Fig. 4 Spectrum of voice in quite environment

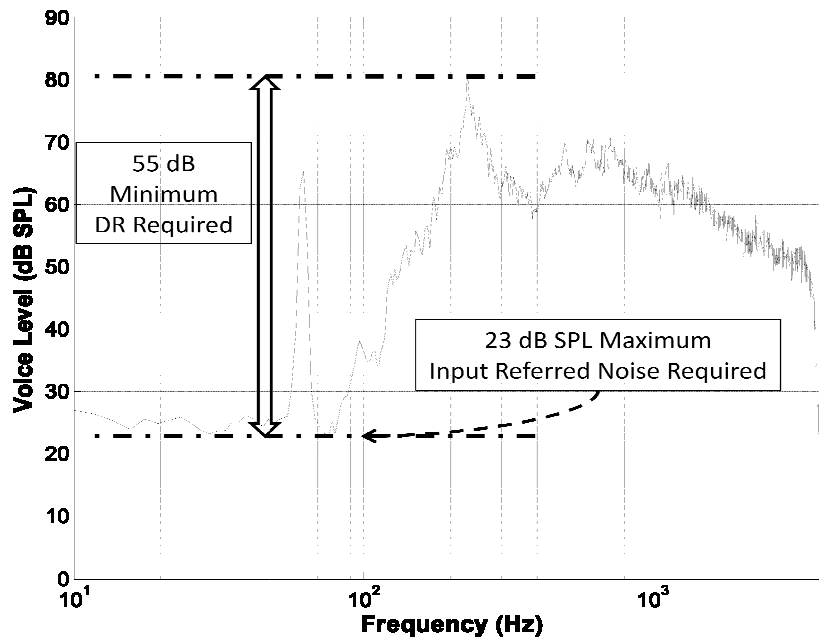


Fig. 5 Spectrum of voice in noisy environment

D. Organization of the Thesis

Chapter II gives an overview of analog to digital converters (ADC) used at DHA systems. Chapter III describes the system design and development of the $\Sigma\Delta$ ADC. Chapter IV gives details of the circuit design and implementation of the CT - $\Sigma\Delta$ Modulator. Chapter V explains the adaptive SNR input stage. Chapter VI shows the final design and measurement results. Finally chapter VII gives the conclusion and future works for the dissertation.

II. OVERVIEW OF ANALOG TO DIGITAL CONVERTERS USED AT DHA SYSTEMS

The objective of this section is to mention the literature survey for ADCs used in DHAs. There are two types of Analog to Digital Converters (ADC) that can be used to implement a DHA System, which are Nyquist ADCs and Oversampling Noise Shaped ($\Sigma\Delta$) ADCs. Dynamic range of the hearing aid system should be at least 90dB, which corresponds to 15 bits of resolution (Appendix 1). Because of the high linearity requirement of the DHA system, either Pipeline Nyquist ADC architecture or $\Sigma\Delta$ ADC architecture is a good choice for this application.

A. Pipeline ADCs

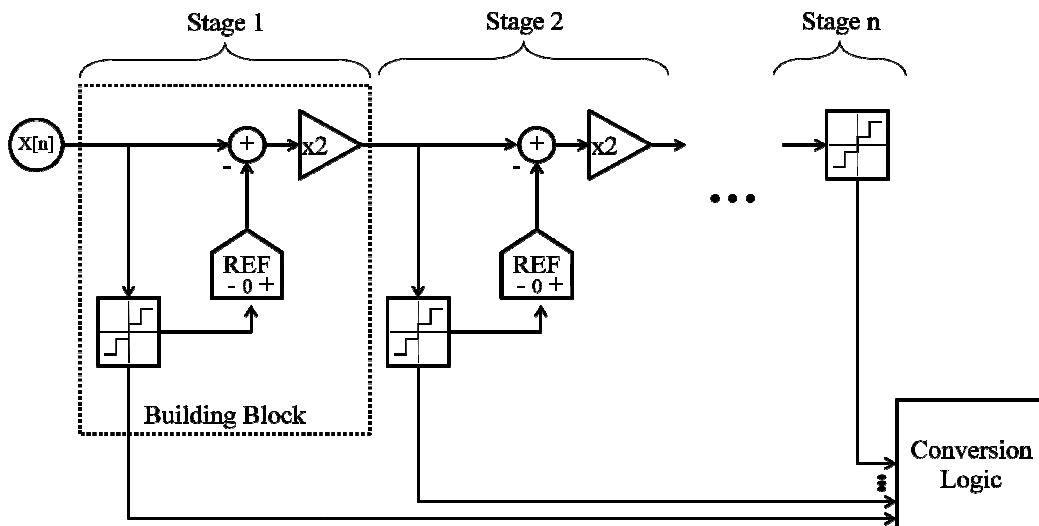


Fig. 6 Pipeline ADC Architecture.

Pipeline ADC architecture is an iterative architecture, where the final digital code of the analog signal is calculated in several stages. Every stage uses a 2 or 3 level quantizer. In the first clock cycle the MSB is quantized and the remainder is calculated. In the second clock cycle the next bit is quantized and this is repeated until all the bits are quantized. The digital sum of each stage generates the final digital code. Fig. 6 shows a generic representation of a pipeline architecture. The advantage of this architecture is: the next sample can start conversion without finishing the first conversion, which is the sampling frequency is the clock frequency. The shortcomings of it are: conversion has an ‘n’ clock cycle delay, and the unit quantizer should be as linear as the final 13 bit quantizer. This architecture has a very big stress on analog circuit design.

B. $\Sigma\Delta$ ADCs

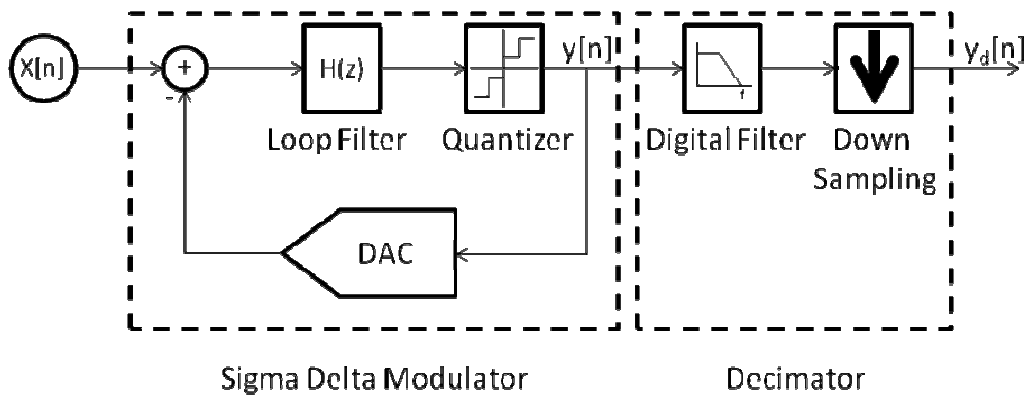


Fig. 7 $\Sigma\Delta$ ADC Architecture

The linearized small signal model of a $\Sigma\Delta$ modulator can be seen at Fig. 8 the quantizer is modeled as a quantizer gain component and an additive white noise contribution, where the noise contribution is mentioned at Appendix I.

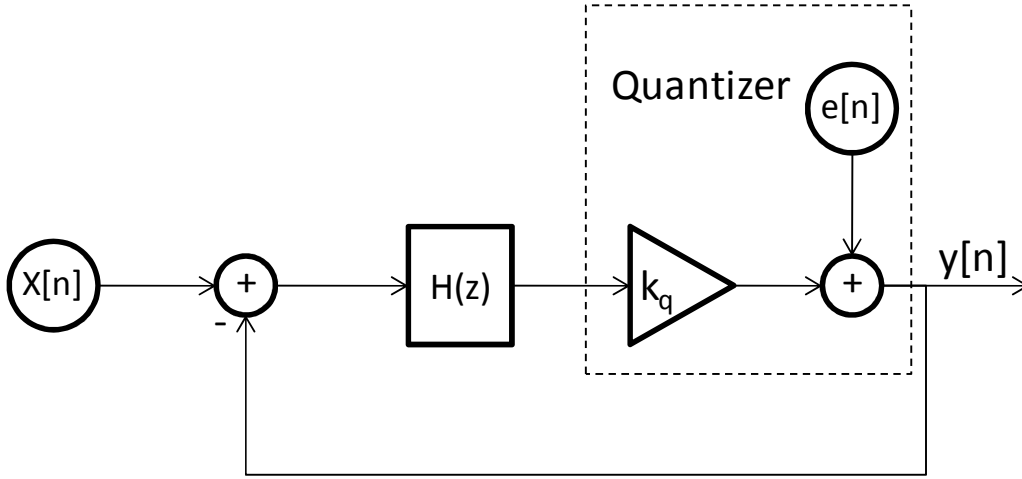


Fig. 8 Linearized Model of $\Sigma\Delta$ Modulator

Output expression $y[n]$ can be found from linear superposition, at the z domain. First solution is for the signal path, i.e. “*Signal Transfer Function*”:

$$STF = \frac{Y(z)}{X(z)} = \frac{H(z) \cdot k_q}{1 + H(z) \cdot k_q} \quad (2)$$

For the noise contribution, i.e. “*Noise Transfer Function*”:

$$NTF = \frac{Y(z)}{E(z)} = \frac{1}{1 + H(z) \cdot k_q} \quad (3)$$

From Appendix II, the SQNR of a N bit $\Sigma\Delta$ ADC with order m is:

$$SQNR = 10 \cdot \log_{10} \left(\frac{\left(\frac{1}{2\sqrt{2}} \right)^2}{\frac{1}{12} \cdot \left(\frac{1}{2^N - 1} \right)^2 \cdot \frac{\pi^{2 \cdot m}}{2 \cdot m + 1} \cdot \left(\frac{1}{OSR} \right)^{2 \cdot m + 1}} \right) \quad (4)$$

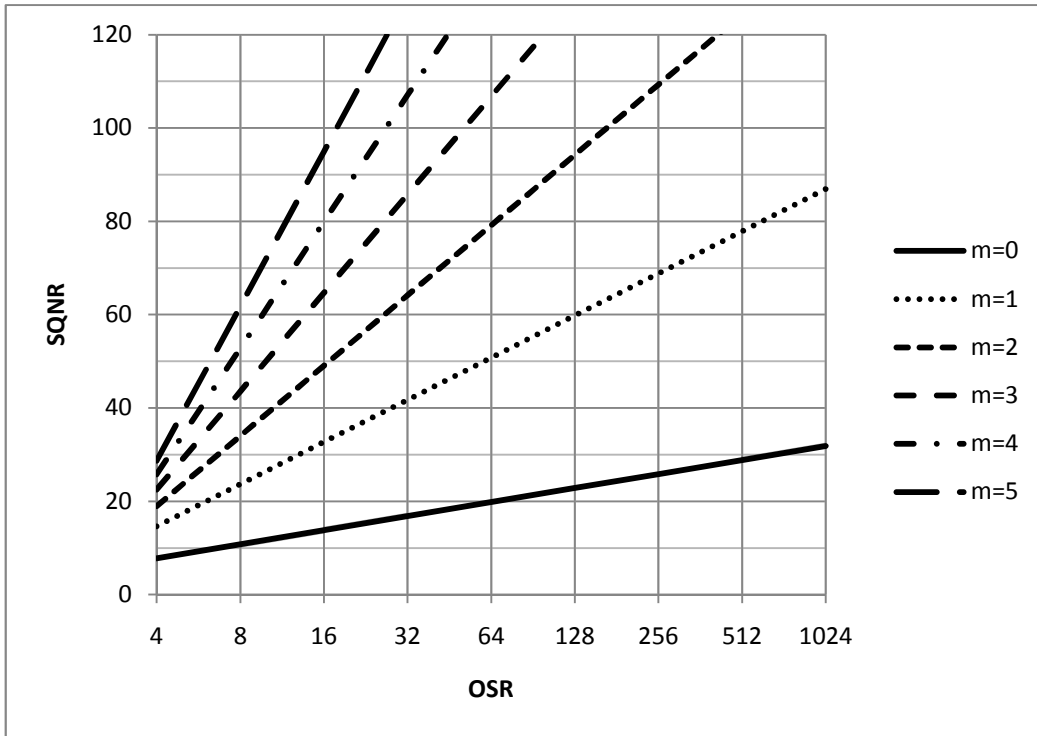


Fig. 9 Theoretical SQNR of m^{th} order $\Sigma\Delta$ Modulator

The theoretical SQNR calculation of 1 bit $\Sigma\Delta$ ADC converters can be seen in Fig. 9. It can be seen that as the order is increased there is a dramatic increase at the SQNR. On the other hand, higher order modulators over 2nd order are potentially unstable. In order to resolve this NTF should be chosen carefully, which reduces the SQNR of this theoretical calculation.

C. ADCs Used At DHA Literature

H. G. McAllister reported the first implementation of a Digital Hearing Aid System in IEEE Computing & Control Engineering Journal at December 1995 [8]. They used TLC32044 Voice-Band Analog Interface CMOS chip, which

is commercially available from Texas Instruments [13]. The ADC used is a 14 bit DR ADC with 12.5 KHz sampling rate. The Transmitter Architecture consists of a switch capacitor anti-aliasing filter and the ADC with internal voltage reference. It also has a serial port interface to communicate with the DSP. The analog and mixed signal portion of the chip, both transmitter and receiver front end, dissipates 40 mA over +5/-5 V supply. The main shortcoming of this implementation is the high power dissipation and the big and bulky implementation. Also generating +5/-5 V supply from a single 1.2V zinc-air battery would require extra hardware.

First application specific analog front end (AFE) for a DHA System is introduced by H. Neuteboom, in IEEE Journal of Solid-State Circuits, at November 1997 [9]. They developed a fourth order CT - $\Sigma\Delta$ Modulator in 0.8 μm low-threshold CMOS process. The modulator consists of a fourth order feed forward loop filter with one bit quantizer output. They used 1024 KHz sampling frequency and achieved 7 KHz audio signal bandwidth, and Dynamic Range of 77dB. The ADC occupies 0.66 mm^2 silicon area and dissipates 110 μA over a 2.15 V supply. The output has a Total Harmonic Distortion (THD) less than -50 dB.

Another reported AFE for DHA is from D. G. Gata in IEEE Journal of Solid-State Circuits, at December 2002 [10]. They developed a third order discrete time $\Sigma\Delta$ modulator in 0.6 μm mixed signal CMOS process. The third order modulator is a cascade of two single bit stages, second order stage followed

by a first order stage. It has a simple R-C anti-aliasing filter before the modulator. They used 1.28 MHz sampling frequency and achieved 10 KHz audio signal bandwidth and Dynamic Range of 87 dB. The ADC occupies around 0.36 mm² silicon area and dissipates 66 μ A over a 1.1 V supply. The output has a 92 dB Signal to Distortion Ratio (SDR).

The latest reported AFE for DHA is from S. Kim in IEEE Journal of Solid-State Circuits, at April 2006 [11]. They developed an adaptive $\Sigma\Delta$ Modulator in 0.25 μ m CMOS process. The design has an option of second or third order discrete time modulator, working at 1.024 MHz or 2.048 MHz option. The design has dynamic range settings of 72, 81, 78, and 86 dB which consumes 26.4, 26.8, 35.7, and 36.7 μ W respectively over 0.9 V supply. The ADC occupies around 0.3 mm² silicon area.

	[9]	[10]	[11]			
Supply Voltage (V)	2.15	1.1	0.9			
Dynamic Range (dB)	77	87	72	81	78	86
Power Consumption (μ W)	236.5	72.6	6.4	6.8	5.7	36.7
Area (mm ²)	0.66	0.36	0.3			
CMOS Technology (μ m)	0.8	0.6	0.25			

Table III Literature Comparison of $\Sigma\Delta$ ADCs for DHA.

III. SYSTEM DESIGN AND DEVELOPMENT OF 4TH ORDER CT - $\Sigma\Delta$ ADC

The biggest challenge of the $\Sigma\Delta$ modulator in the DHA architecture is while maintaining the high SNR minimum power consumption is desired. In discrete time modulators basic integration component is a switched capacitor integrator. In order to satisfy the SNR requirement integrator should settle to its final value in half the clock period, which would need high gain bandwidth product (GBW) thus higher power consumption. Where in the CT modulator, the loop filter works on the signal bandwidth, which results in a much smaller GBW requirement and less power consumption [14]. Another advantage of the CT modulators is an inherent anti-aliasing function of the loop filter. The loop filter and DAC shape puts a null to the signal transfer function at sampling frequency (f_s), and its multiples, so any aliasing signal is going to be filtered [14]. Discrete time modulators have a sample and hold stage at the first integrator, so if there is an aliasing signal at f_s , that would be folded over to the digitized signal, in order to avoid this, a low-pass anti-aliasing filter should be used in these $\Sigma\Delta$ modulators (Appendix 3 shows detailed comparison of CT and DT $\Sigma\Delta$ architectures).

In order to design the final implemented CT - $\Sigma\Delta$ modulator, first a discrete time model is developed, than a discrete to continuous time conversion is performed, and final value of the loop filter is optimized to get the best SNR.

A. Discrete Time Model

Fig. 10 shows the discrete time prototype. A conventional feedback structure with Noise Transfer Function (NTF) zero is implemented in this design [15]. 1 MHz clock frequency is chosen to have lower switching loss at the digital parts and DSP. A fourth order modulator gives enough SQNR to cover the 90 dB DR required. A three level quantizer is used with Return to Zero (RZ) DAC. RZ operation reduces the effect of excess loop delay which increases the linearity and SQNR. NTF gain is set to 1.6 dB to increase the noise shaping which would result in higher SQNR; the drawback of this approach is it reduces the maximum stable input voltage to 3.6 dB lower than the quantizer reference levels. Fig. 11 shows the NTF and STF of the designed discrete time prototype. Noise shaping and bandwidth can be seen clearly.

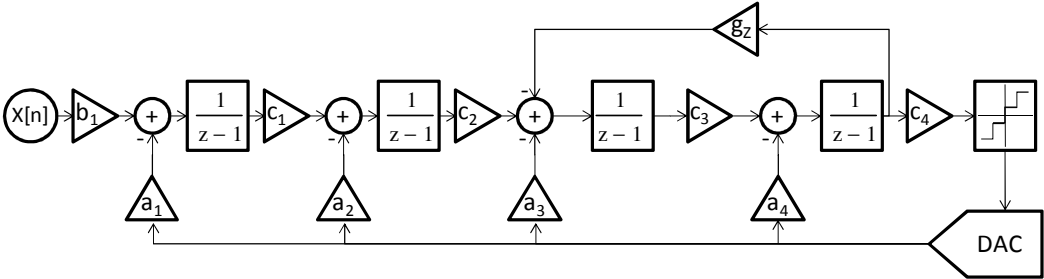


Fig. 10 Discrete Time Prototype.

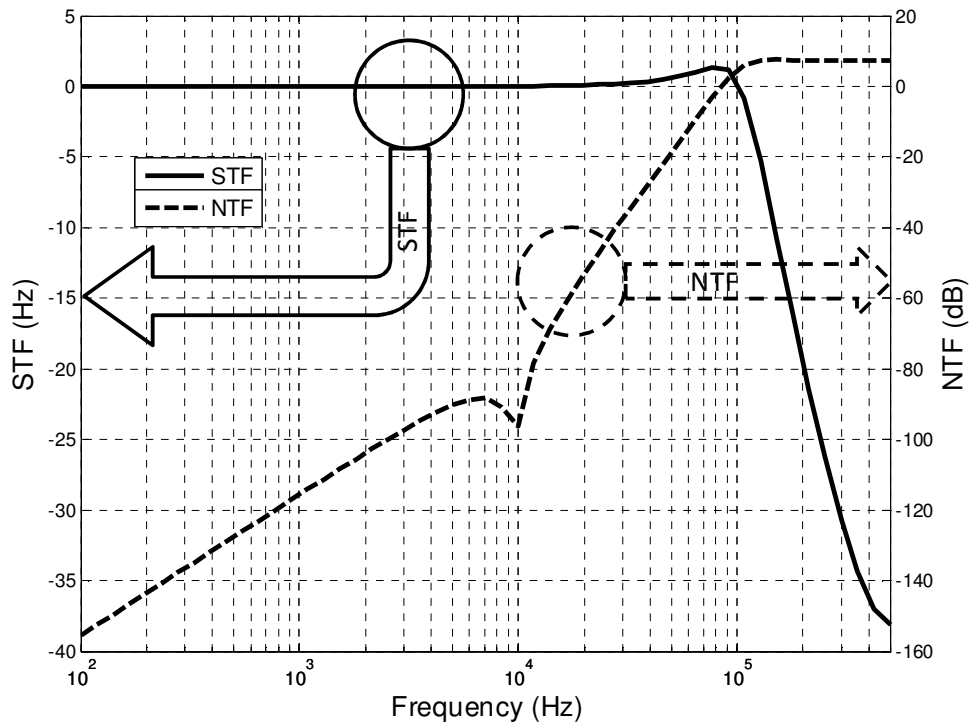


Fig. 11 NTF and STF of the Discrete Time Prototype.

B. Discrete To Continuous Transformation

After the design of discrete time prototype, discrete time to continuous time conversion is applied. Simple Euler Integration is used at each integrator. RZ DAC scaling for the feedback coefficients is applied. The verilog model shown in Fig. 12, is developed to validate the transformation. Lossy integrator model is used to investigate how much DC gain is needed for the integrator. It can be seen in Fig. 13 that a minimum gain of 60 dB is needed for the desired SQNR level.

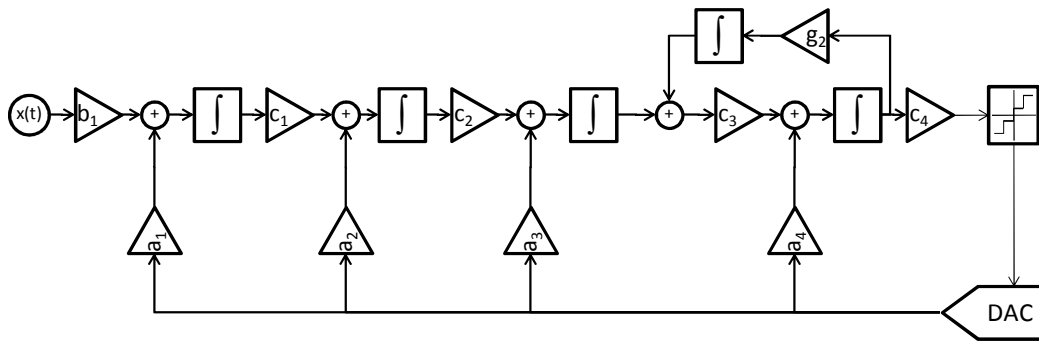


Fig. 12 CT Verilog Model.

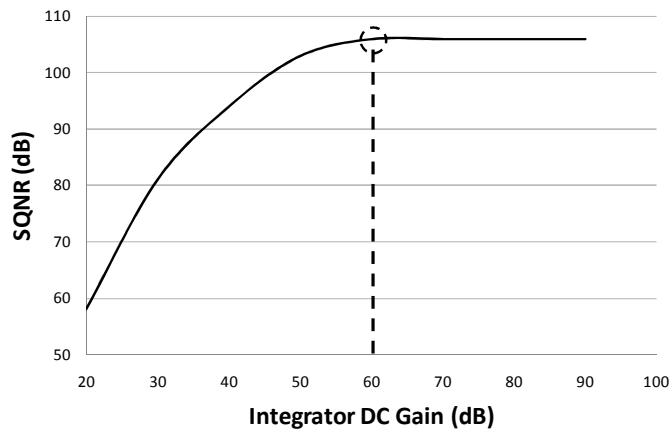


Fig. 13 Integrator DC Gain vs SQNR

C. Excess Loop Delay and Jitter

Fig. 14 shows the discrete time $\Sigma\Delta$ modulator and its continuous time counterpart. The discrete time modulator and continuous time modulator are equivalent if the error signal $e[n]$ in both modulators is equivalent. In the signal path this equivalency is satisfied, when an impulse-invariant transformation is used to calculate the continuous time transfer function $H(s)$.

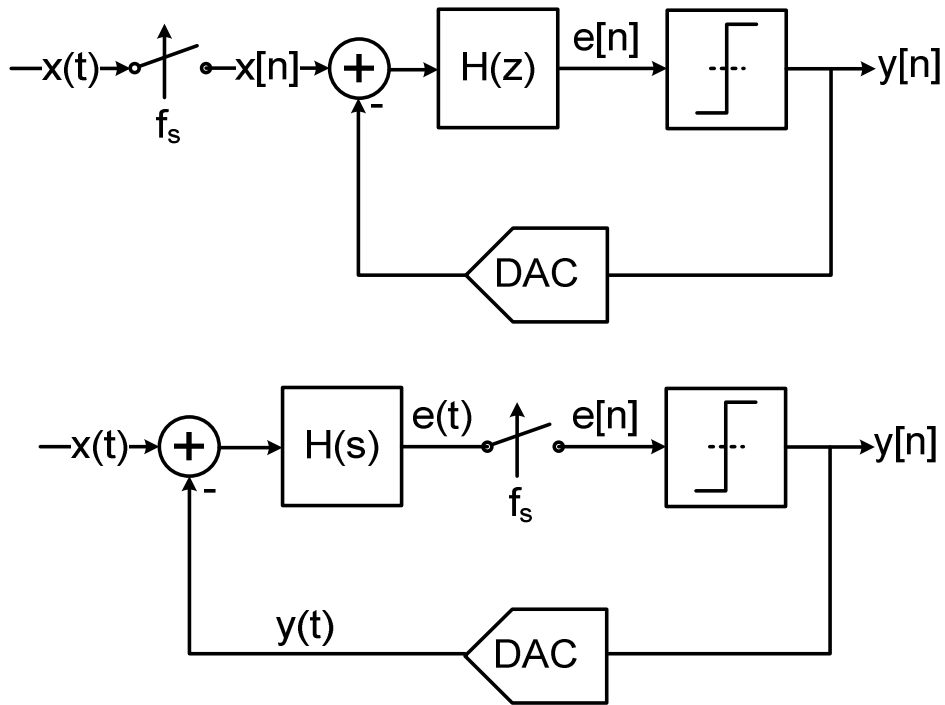


Fig. 14 Model of discrete time and CT - $\Sigma\Delta$ Modulator.

Excess loop delay analysis of CT- $\Sigma\Delta$ modulators are described in [16].

The CT - $\Sigma\Delta$ loop, with emphasis on the feedback DAC, and the clock signals used in the $\Sigma\Delta$ modulator is shown in Fig. 15 and Fig. 16 respectively. $C1(t)$ is the clock for the comparator, and $C2(t)$ is the clock for the RZ DAC used, $C3(t)$ is the Non Return to Zero (NRZ) DAC pulse. These signals are generated from a single clock, with a non overlapping clock generator. When the $C1(t)$ is at zero the comparator is kept at auto zero phase, where the regenerative latch is kept at the center point. At time T_1 , comparator is released; the design makes sure the comparator latches before T_2 . From time T_2 to T_3 a quantized sampled signal is fed back with the current steering DACs, where τ_1 and τ_2 are the turn on and turn

off time of the DAC respectively. The DAC architecture used is a return to zero (RZ) architecture, where the DAC current is turned on after the quantizer stabilizes, and is kept on for half a clock cycle. The DAC pulse returns back to zero before the next sampling cycle. As a result of this clocking, this DAC architecture does not show any excess loop delay. Because the loop is closed before the next cycle, the $\Sigma\Delta$ modulator is a cycle to cycle equivalent to the discrete counterpart.

In the NRZ case, the feedback signal is turned on and off with the comparator output, because of the finite turn on time τ_3 and the finite turn off time of τ_4 , the actual current pulses are delayed from the comparator output. Because of this delay, next sampling occurs before the full charge transfer, resulting in an excess loop delay. Resulting in the SNR degradation and a higher signal distortion is observed.

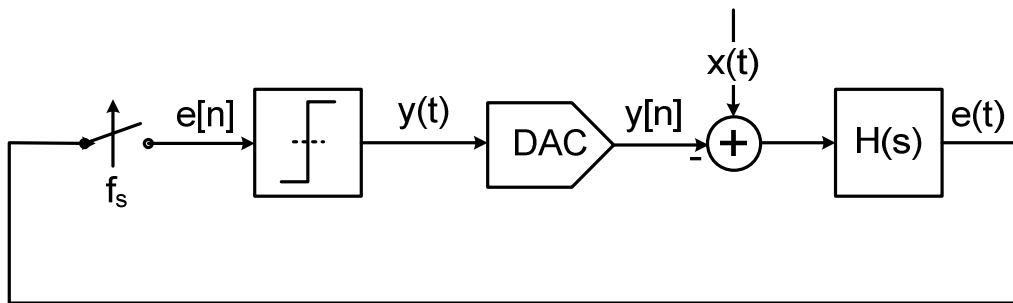


Fig. 15 CT - $\Sigma\Delta$ loop, with emphasis on the feedback DAC

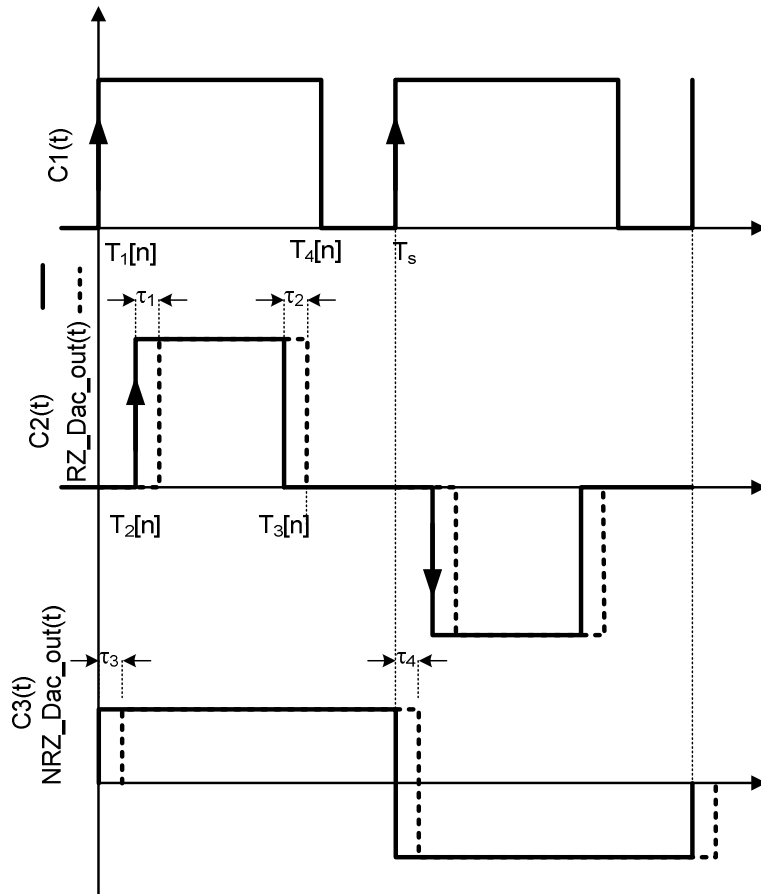


Fig. 16 Clock signals used in the $\Sigma\Delta$ modulator.

The Jitter Effect in a CT - $\Sigma\Delta$ modulators is described in [17], the effect of a jittery clock is the increase of the noise floor and reduction of the dynamic range of the modulator. In a higher order $\Sigma\Delta$ modulator, a comparator input is uncorrelated from the signal amplitude, as a result of this, the Jitter Effect of the quantizer is minimal. On the other hand the jitter in the feedback DAC has a major effect to the modulator performance. Because of the constant current in a clock cycle is fed back to the integrators, uncertainty on the turn on and turn off

time of the current sources has a major effect. Fig. 17 shows the SNR degradation due to the Jitter Effect on the designed fourth order $\Sigma\Delta$ modulator.

The Jitter Effect is minimal if the clock jitter is lower than 10pS.

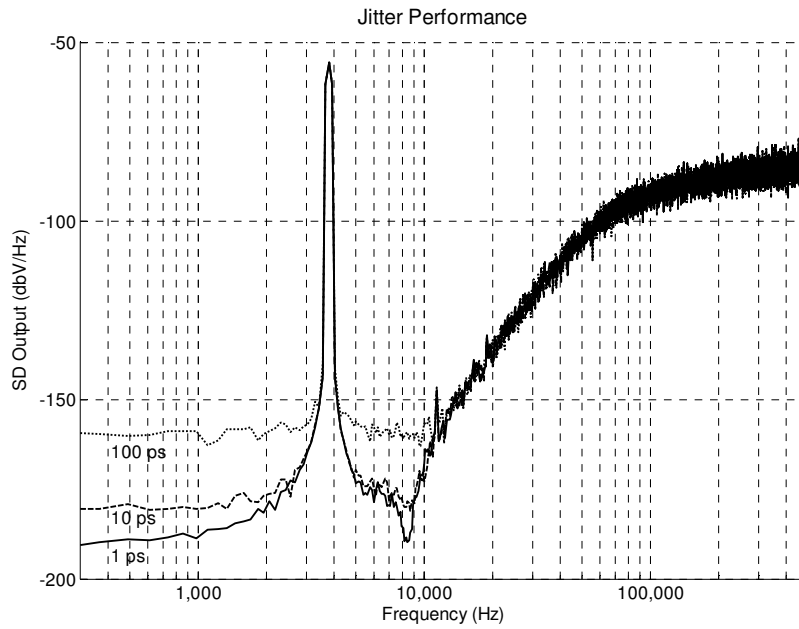


Fig. 17 SNR degradation due to the jitter

IV. CIRCUIT DESIGN AND IMPLEMENTATION OF THE CT - $\Sigma\Delta$ MODULATOR

Nonlinearity of the first stage is not shaped by the $\Sigma\Delta$ loop, so high linearity is needed at this stage. Although Active RC integrators have higher power requirements than gm-C integrators, an active RC integrator is chosen for the first stage. Later stage nonlinearities are suppressed by the $\Sigma\Delta$ loop gain, so gm-C integrators are used for the later stages. After defining the integrator gain from the verilog model, the coefficients a, b, c and g_z are converted to resistor, capacitor and g_m values. Fig. 18 shows the implemented $\Sigma\Delta$ architecture.

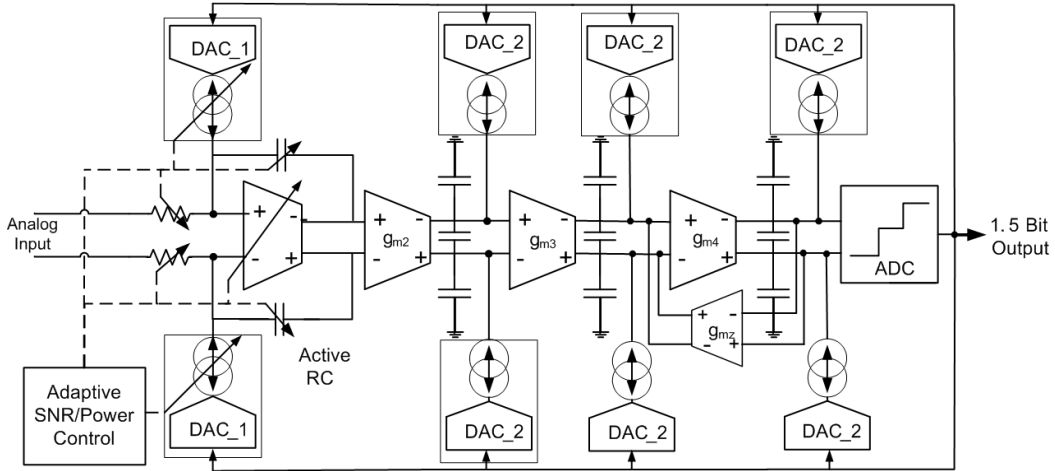


Fig. 18 Implemented CT - $\Sigma\Delta$ Modulator.

A. Integrator Circuit Architectures

There are two main integrator topologies that can be used to design a CT - $\Sigma\Delta$ Modulator, which are Active RC integrator and gm-C integrator. Block level representations of these integrators can be seen in Fig. 19.

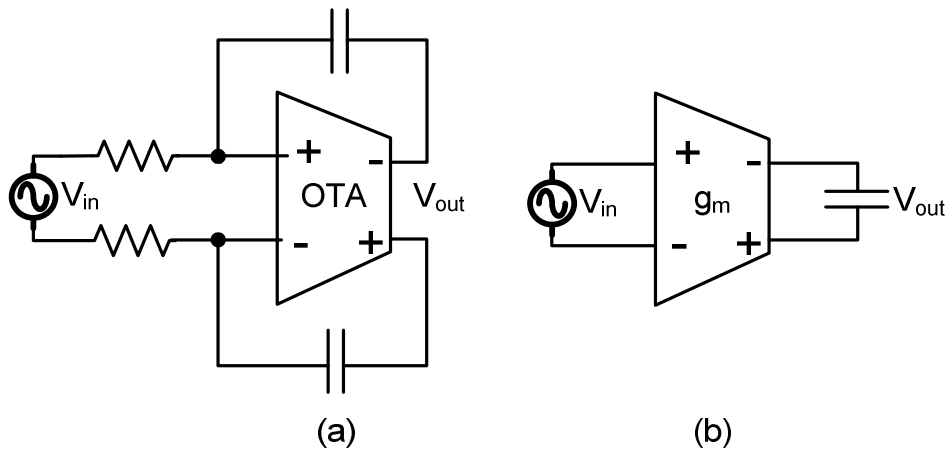


Fig. 19 (a) Active RC integrator (b) g_m -C integrator

The basic principle of the active RC integrator is, while the OTA keeps the input nodes at the common mode, the input voltage converts into current over the integration resistors, and the current integrates over the integration capacitor. For a g_m -C integrator stage, input voltage is converted to current with an active device, and integrated over the integration capacitor. Active RC integrator is a feedback integrator on the other hand; a g_m -C integrator is an open loop integrator. Any nonlinearities of the g_m device will contribute to the output linearity directly. Nonlinearities of the active RC integrator will be divided by the OTA open loop gain, which reduces the non-linearity of the active circuit. Also, the active circuit noise contribution of the active RC integrator would be smaller than the g_m -C integrator.

B. Adaptive Active RC Integrator for Input Stage

The folded cascode OTA architecture at Fig. 20 is used as the active device. R_p and R_n input resistors convert the voltage signal into current, and the current is integrated over the C_p and C_n capacitors. Assuming OTA has a high enough gain integration constant of the active RC integrator can be found as:

$$V_{out}(s) = 1/(sRC) \quad (1)$$

High resistive poly resistors are used as the input resistors. MIM capacitors are used as the integrating capacitor to increase the linearity of the integrator. OTA is a well known folded cascode structure with a PMOS input stage. The Input device body is connected to the source, which eliminates the back bias effect and increases the linearity. All the devices are optimized such that $1/f$ corner frequency is low enough that it doesn't affect the overall noise of the system. Three binary scaled OTAs are designed to implement the Power / SNR scaling, where the power consumption is $8.4 \mu\text{W}$, $16.8 \mu\text{W}$ and $33.6 \mu\text{W}$ respectively at 1.2 V supply. The input integration resistor is scaled by the OTA as well. In order to increase the linearity at low power levels, a higher resistance is used at the low power setting so the integration current is low, hence increasing the linearity. In order to keep the loop filter unchanged, the integration capacitor is scaled as well. The input resistor is scaled $100\text{K}\Omega$, $200\text{K}\Omega$, $400\text{K}\Omega$, $800\text{K}\Omega$ when lowering the power consumption and the integration capacitor is scaled from 100pF , 50pF , 25pF and 12.5pF respectively.

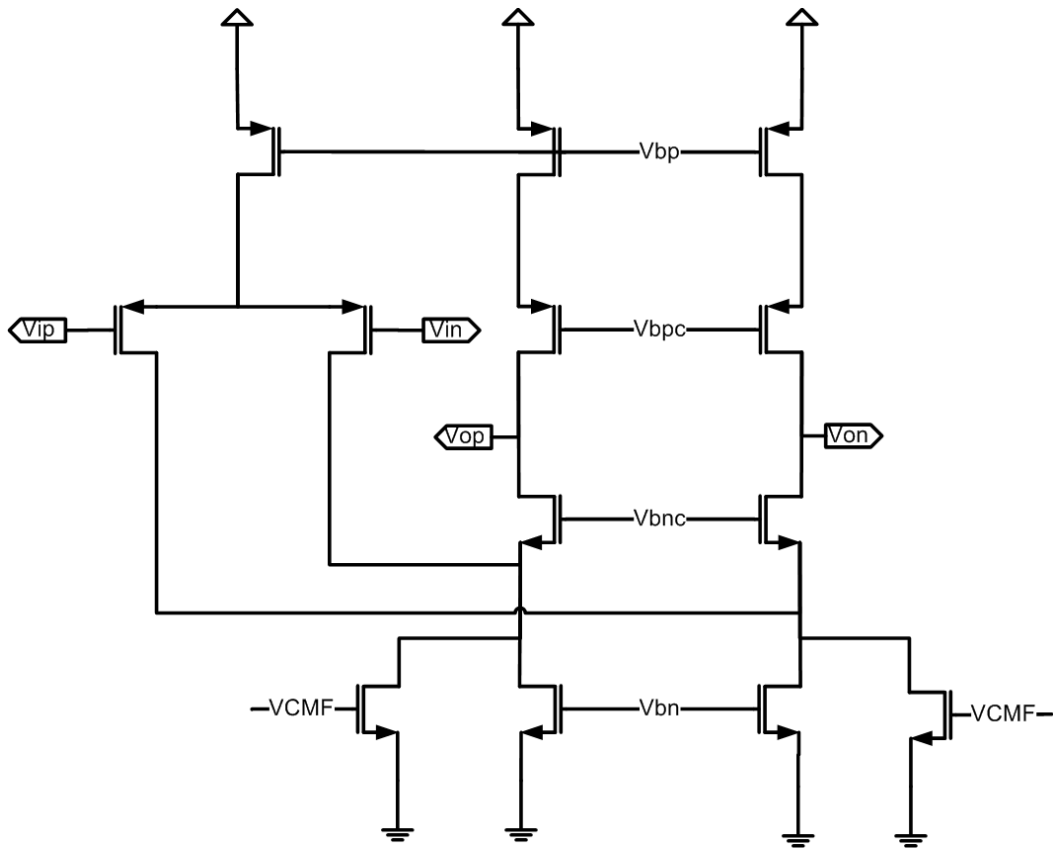


Fig. 20 Folded Cascode OTA.

The smallest Active RC integrator has a 69 dB DC gain, with an integration constant of 65.97 KRad/s.

Fig. 21 shows the input referred noise spectrum of the Active RC integrator with enabling more OTAs in the integrator. Total current can be changed from 7 μ A to 56 μ A.

Table IV shows the integrated input referred noise in the 10 KHz bandwidth and corresponding SNR value. The effective SNR of the system can be changed from 81 dB to 90 dB with the appropriate power setting.

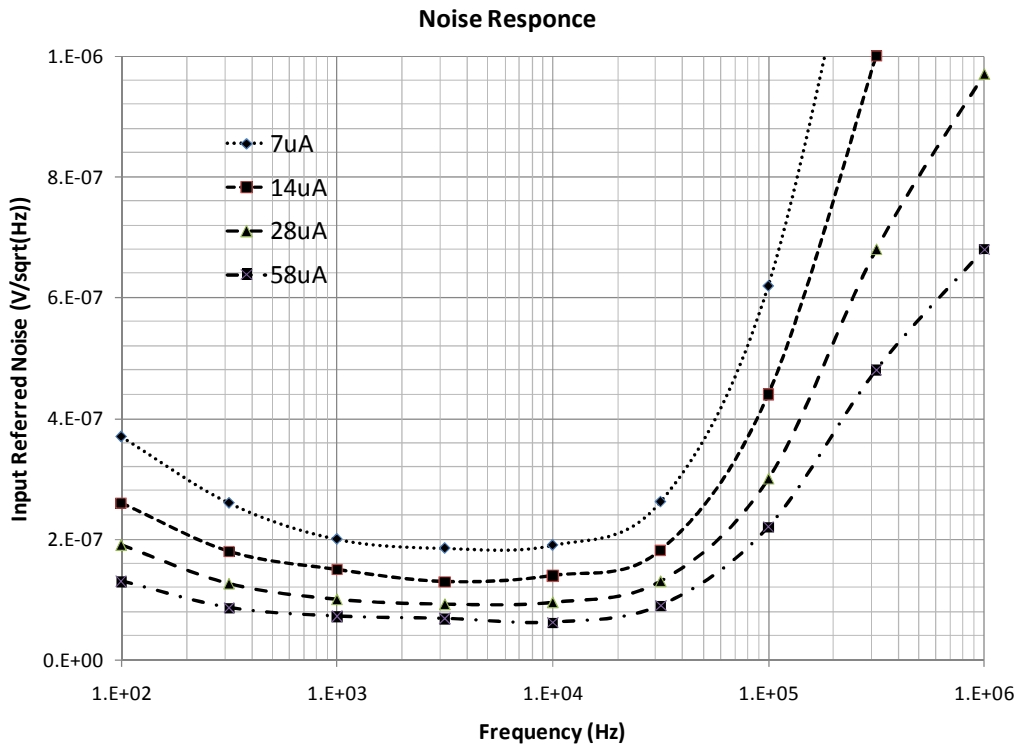


Fig. 21 Noise Performance of Adaptive Active RC implementation.

Power (μW)	Input Referred Noise (μVrms)	SNR (dB)
67.2	6.69	90.86
33.6	9.46	87.85
16.8	13.39	84.83
8.4	18.96	81.81

Table IV Power / SNR scaling of the Active RC integrator.

C. Circuit for g_m -C Integrator

The g_m device converts the input voltage in to current and the current is integrated over the effective output capacitor. The capacitor voltage is the integration of the input voltage. The effective capacitor value can be found as a combination of the differential capacitor and capacitors going to ground as:

$$C_{\text{eff}} = C_{\text{diff}} + C_g \quad (2)$$

The g_m circuit at Fig. 22 is used as the voltage to current conversion. A folded cascode structure is used to increase the integrator DC gain. A resistive source degeneration is used to fix the transconductance value. Helper amplifiers: Amp_p and Amp_n increase the linearity of the source follower where the voltages V_{in_n} and V_{in_p} are generated at the two ends of the resistor. The input differential voltage is converted into current over the R_{deg} , and steered from one leg of the input leg to the other. The differential current is copied to the output at the folding node

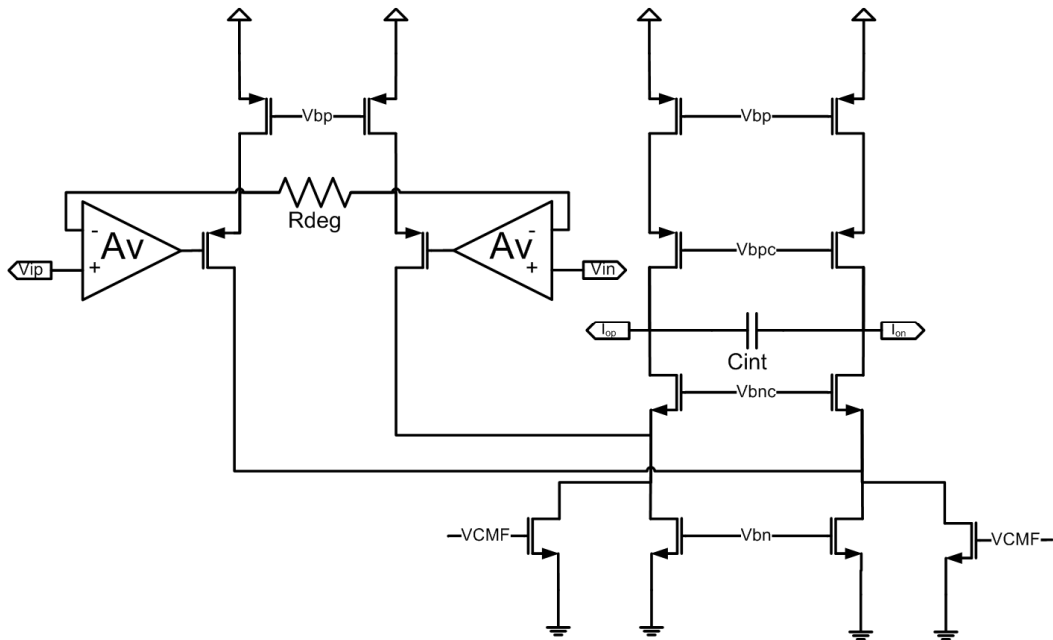


Fig. 22 g_m Circuit Schematic.

The first g_m -C integrators have 69 dB DC of gain, a power dissipation of 9.6 μ W over a 1.2 V supply, and the integration constants are 65.9, 103.9, 596.8 KRad/s.

D. Circuit for $\Sigma\Delta$ NTF Zero g_m -Z

The implementation of the g_m -Z puts a zero to the NTF just before the bandwidth of the modulator, which helps to increase the SQNR of the modulator around 20 dB. In order to get a SNR in the order of the design specification, this NTF zero must be implemented. Because of the low frequency of operation the g_m value needed to be implemented a couple of order lower than other g_m devices.

Fig. 23 shows the implemented g_m -Z transconductance stage. It is a modified version of the folded cascode transconductance stage. In order to get a low

transconductance value without increasing the reference resistor, a current of the reference branch is mirrored to the desired current in three current mirroring stages as 200:40:4:1 . As a result of this mirroring, the reference resistor is 200 times smaller than what it should be if the same transconductance stages used in the loop filter. The g_m -Z-C integrator has 42 dB DC of gain, with an integration constant of 500 R/s. The power dissipation is 5.7 μ W over 1.2 V supply.

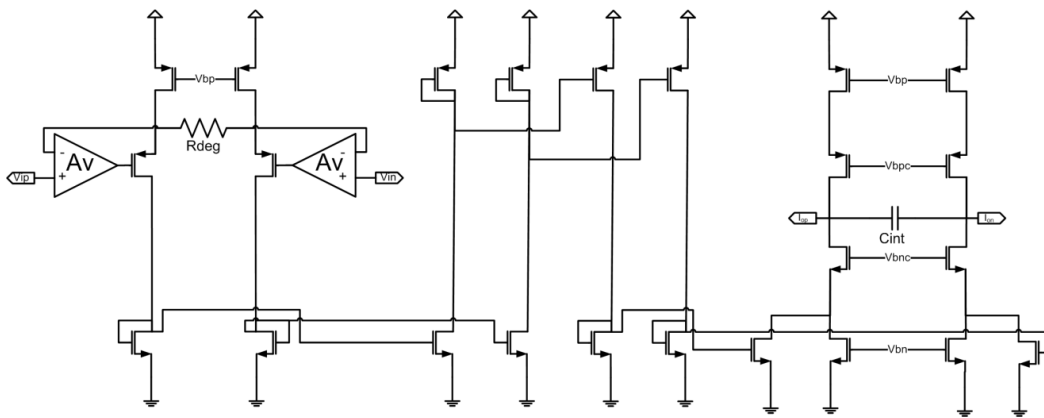


Fig. 23 g_m -Z transconductance stage.

E. Feedback Loop DAC

Current steering DACs are used due to simplify the feedback to the loop filter. A complimentary current source and sink are used to ease requirements on the common mode feedback circuit of the OTA and g_{ms} of the loop filter. When a positive pulse comes from the comparator, the PMOS current source supplies positive current to the positive integration node and the NMOS current source sinks negative current from the negative integration node. With a negative pulse, direction of the current sources are changed, so the PMOS current source supplies

to the negative integration node, and the NMOS current source sinks current from positive integration node. For the return to zero phase the integrators are bypassed and the PMOS current source is connected to the NMOS current source. Fig. 24 shows the simplified block diagram of the implemented RZ DAC.

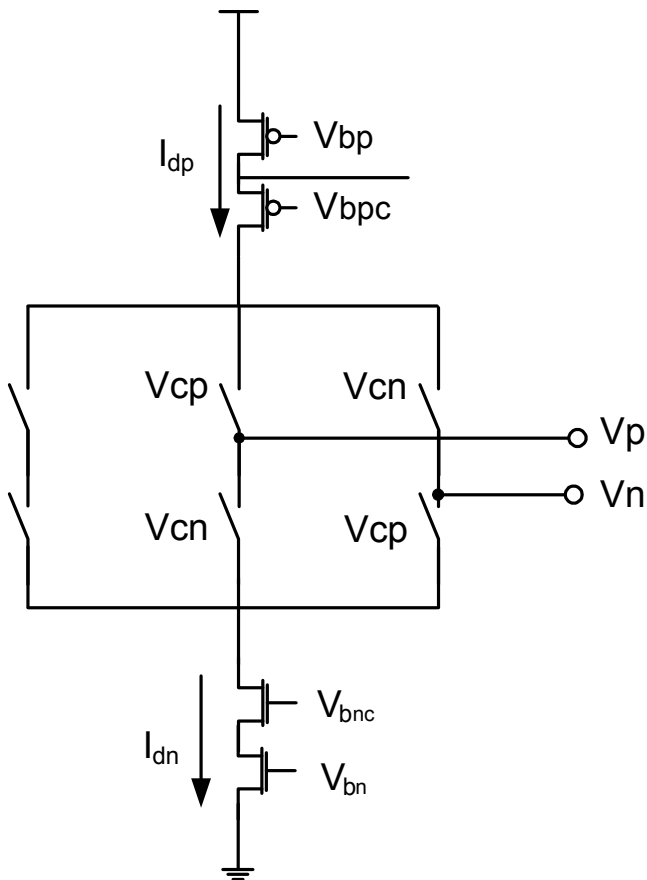


Fig. 24 Complementary Return to Zero DAC Architecture.

In order to implement the CFFB modulator structure, four DACs are implemented according to the feedback coefficients. DAC1 has the most stringent requirements because it directly subtracted from the input signal. DAC1 should be as linear as the full modulator and the noise floor should be lower than the system

noise floor. Dynamic current calibration and glitch minimization is used to overcome these difficulties. Current scaling is implemented for SNR/Power scaling as well. Fig. 25 shows a conventional dynamic calibration circuit. A bias circuit generates gate voltages for the NMOS and PMOS current sources, but when they are scaled up to generate the DAC currents, NMOS and PMOS scale differently, and there is a current mismatch. In order to equate the final DAC currents dynamic calibration is used. At the calibration phase S1 and S2 the switches are closed. A reference current from a PMOS source flows over the fixed current source Q1 and dynamic current source Q2. Q2 is a diode connected device, where the extra current flows over it and sets the gate voltage. At the regular operation S2 the switch is turned off, and the capacitor C_H keeps the calibrated gate voltage. In a conventional dynamic calibration DAC, two identical DACs are designed. In one clock, phase one of the DACs is calibrated, and the next phase calibrated a DAC is used in the feedback and the other DAC is set to calibration.

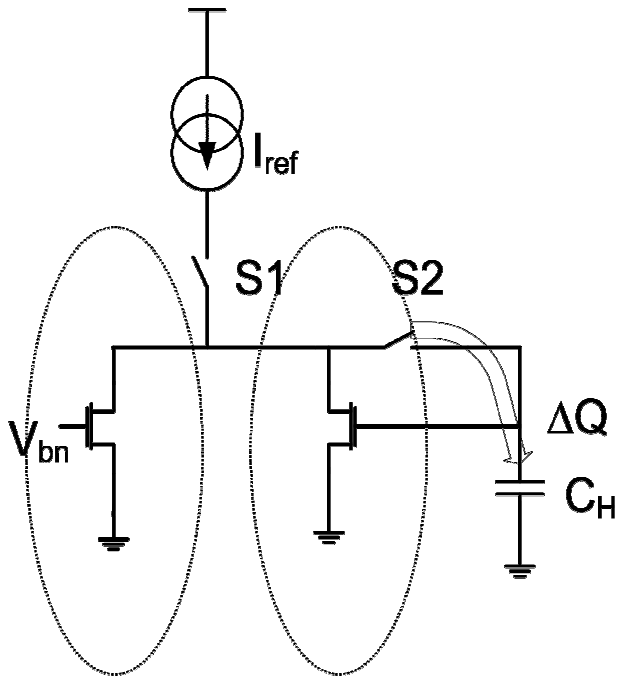


Fig. 25 Dynamic Current Calibration

Fig. 26 shows the implemented dynamic current calibration where the PMOS current source is calibrated. The major difference of the implemented DAC is the calibration is done at the return to zero phase, by doing it this way extra DAC is not needed, hence saving power.

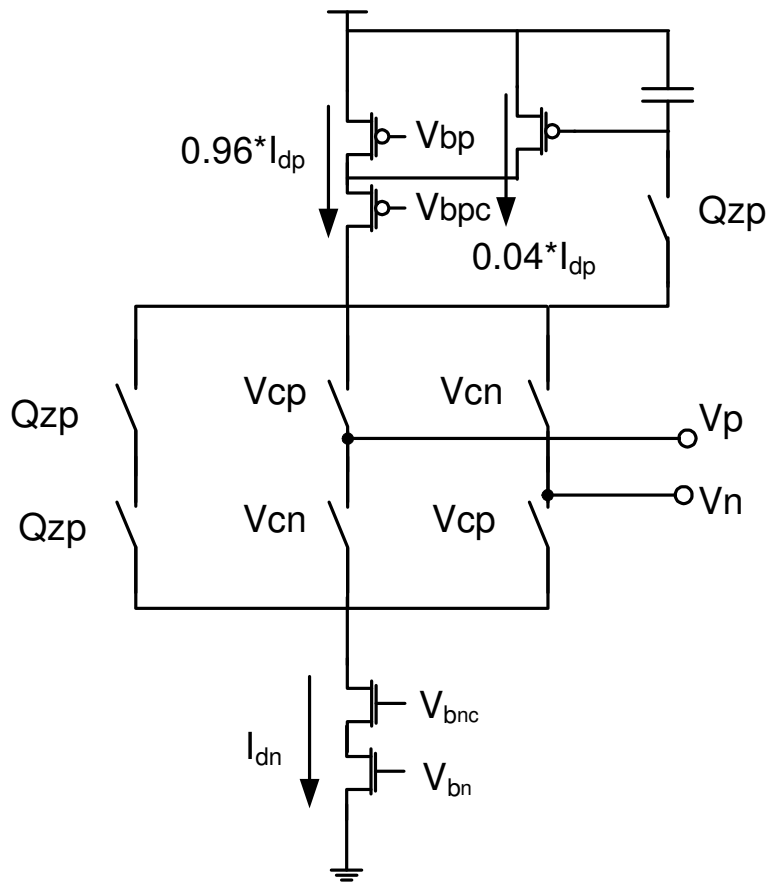


Fig. 26 Implemented DAC1

Implementing DAC2, DAC3 and DAC4 does not need to be as linear as DAC1, because the gain before each stage reduces the requirements of each DAC. Fig. 27 show the implemented DAC structure. Dynamic current calibration is not used, but in order for the DAC not to drift away from the common mode, a diode divider common mode keeper circuit is used. At the zero phase, the PMOS current source and the NMOS current source are connected together. Because of the mismatches of the current sources, the common mode voltage at the connection node can drift either V_{dd} or V_{ss} . The diode divider sets this common

mode to a known voltage in less than half a clock period, such that neither of the current sources is felt into linear region. This reduces the transient glitches, which improves the modulator stability and SQNR of the modulator.

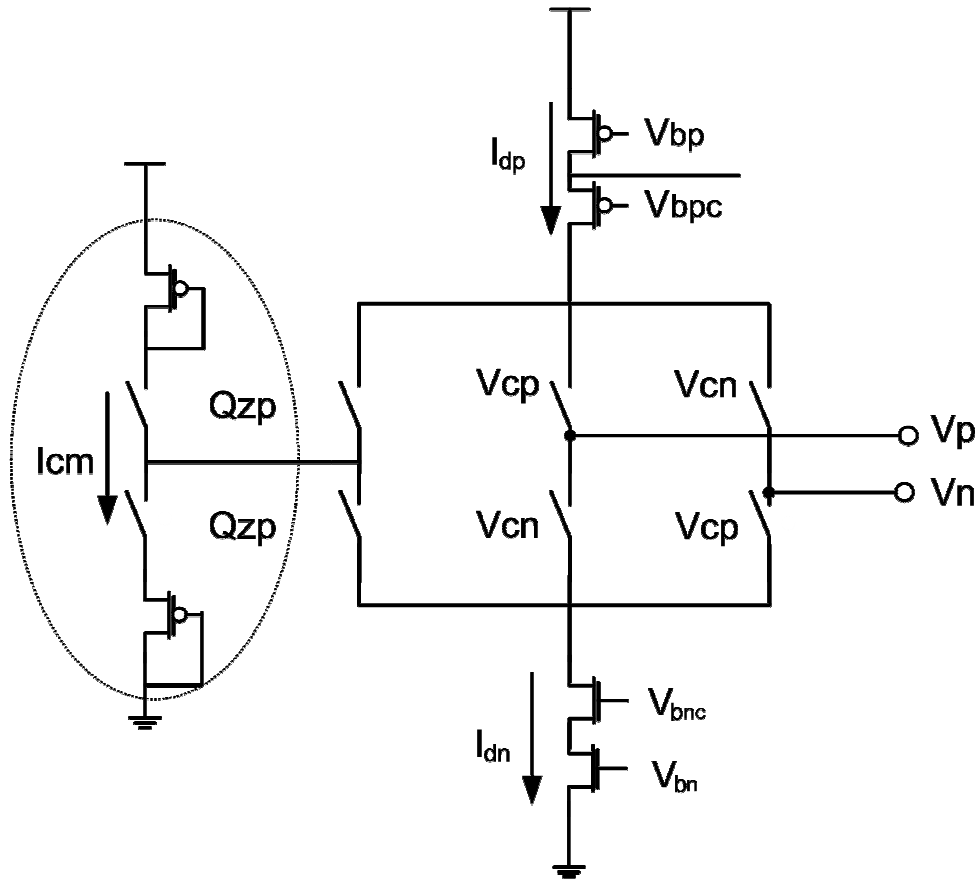


Fig. 27 Implemented DAC2, DAC3, DCA4

F. $\Sigma\Delta$ Comparator and Control / Timing Circuits

The three level (1.5 bit) quantizer shown in Fig. 28 is used. The Return to Zero phase gives a third level DAC, using a three level quantizer realizes this zero state as a digital code, which helps the loop stability and increase of the SQNR.

The comparator architecture at Fig. 29 is used in this design, which consists of a

preamplifier and a regenerative latch. The comparator compares the input differential signal with the differential reference voltage. When the clock to the comparator is low, a regenerative latch is equalized, and the input signal is compared. When the clock is high, the current differential at the output of the preamplifier stage triggers the regenerative latch to its final value. When the input differential voltage is greater than the reference voltage, the comparator latches logic high, and when the input differential voltage is lower than the reference voltage, The comparator latches logic low. As shown in Fig. 30 the quantizer uses the two phase clock. When ϕ_1 is low the quantizer is equalized, when ϕ_1 is high, the output of the quantizer is latched, when ϕ_2 is high, the output of the quantizer is added with the clock, which gives the return to zero phase. The major requirement of the quantizer timing is giving enough time to the quantizer for pre-amplification where keeping the rising edge of the ϕ_1 before the ϕ_2 . In order to satisfy this requirement, the non-overlapping clock generation circuit at Fig. 31 is used. Input to this circuit is a 50% duty cycle clock, and the output is a higher duty cycle, where the increase of the duty cycle can be found by the delay of the NAND gates. The current starved delay architecture at Fig. 32. is used to satisfy the requirement, the rising edge of the clock (ϕ_1) should be later than the rising edge of the comparator enable (ϕ_2).

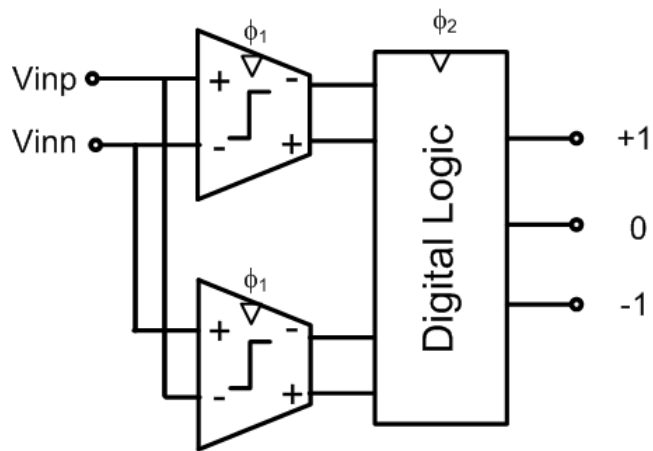


Fig. 28 Three Level Quantizer Architecture.

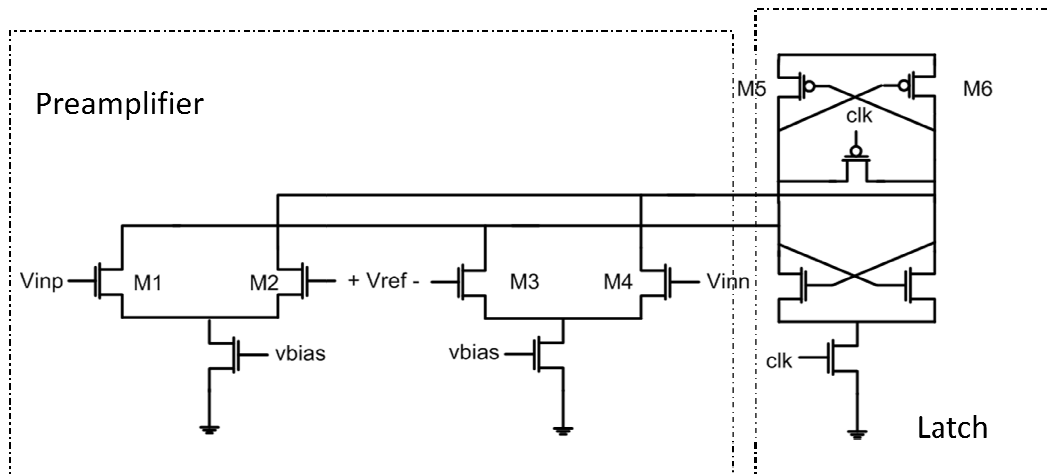


Fig. 29 The schematic of the Quantizer.

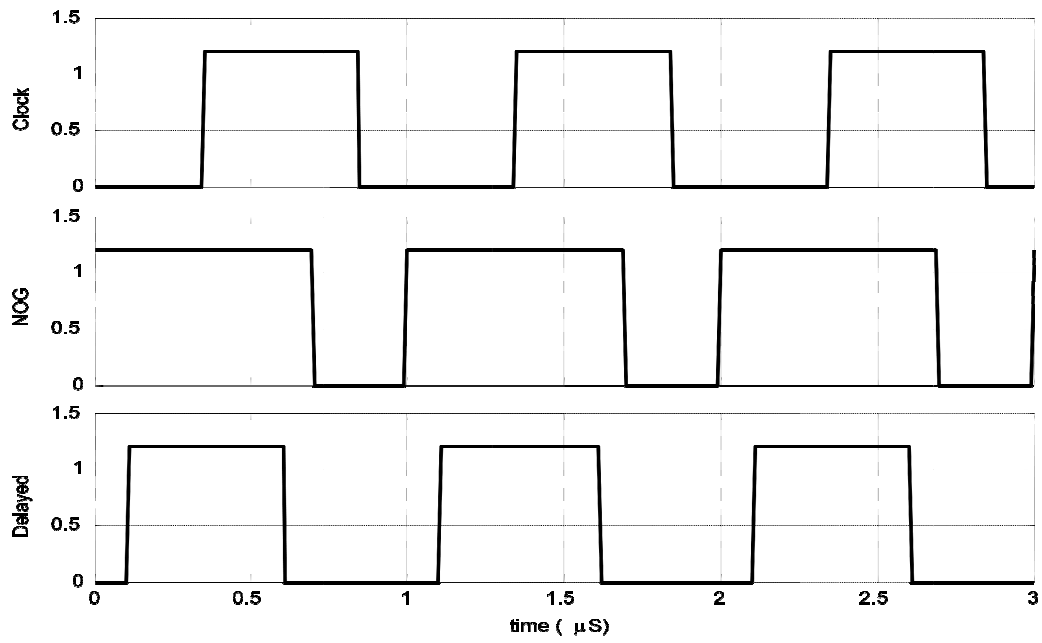


Fig. 30 Clock Timing Diagram.

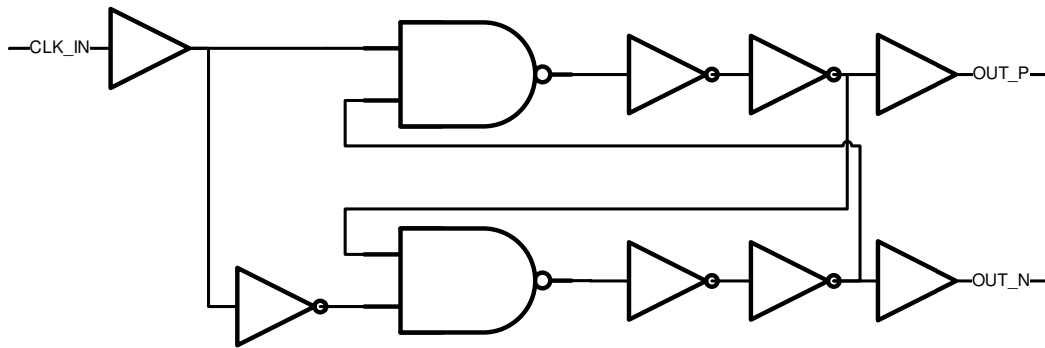


Fig. 31 Non-Overlapping Clock Generator Circuit.

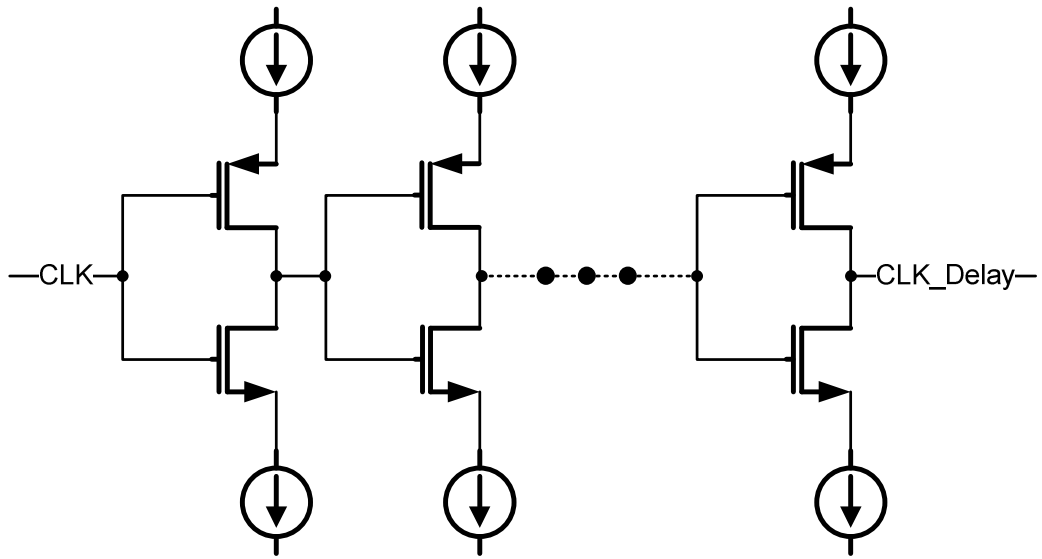


Fig. 32 Current Starved Delay Circuit.

V. ADAPTIVE SNR INPUT STAGE

Power dissipation of a Digital Hearing Aid is one of the most important design specifications. Lower power dissipation means higher battery life, hence a small battery can last longer. As discussed at Chapter I.C. a different SNR of the audio signal in different environments gives flexibility to change the SNR of the analog front end, which gives opportunity to save power in some scenarios.

A. Noise – Power Scaling

The Dynamic power / SNR scaling of continuous time filters is introduced by Ozgun [18]. This thesis uses the same idea to scale the first integrator, which is the major contributor of input referred noise and linearity of a CT - $\Sigma\Delta$ modulator.

Fig. 33 shows the small signal noise model of an OTA. The total noise of the system can be modeled as a lumped noise source at the input. When the input referred noise of an OTA stage is higher than the desired, by combining two OTAs parallel, as shown at Fig. 34, the combined input referred noise gets smaller. The input signal is the same at both amplifiers, so the output current adds in amplitude, but the noise sources are not correlated, so the noise voltage is summed in power at the output. As a result of this operation the output current doubles and the output noise voltage quadruples. When we refer this to input, the new input referred noise is half of the original one. Fig. 35 shows the noise equivalent of this parallel architecture. This shows that the input referred noise power of the combined system reduces by 3 dB, where the DC power dissipation

is doubled. Therefore in an environment when lower SNR is needed, less OTAs are connected to reduce power in the expense of reduced system SNR.

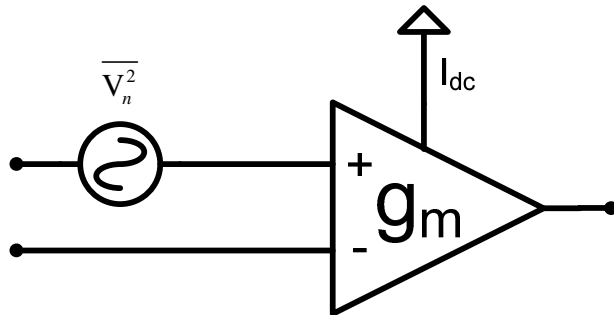


Fig. 33 Small Signal Noise Model of an OTA

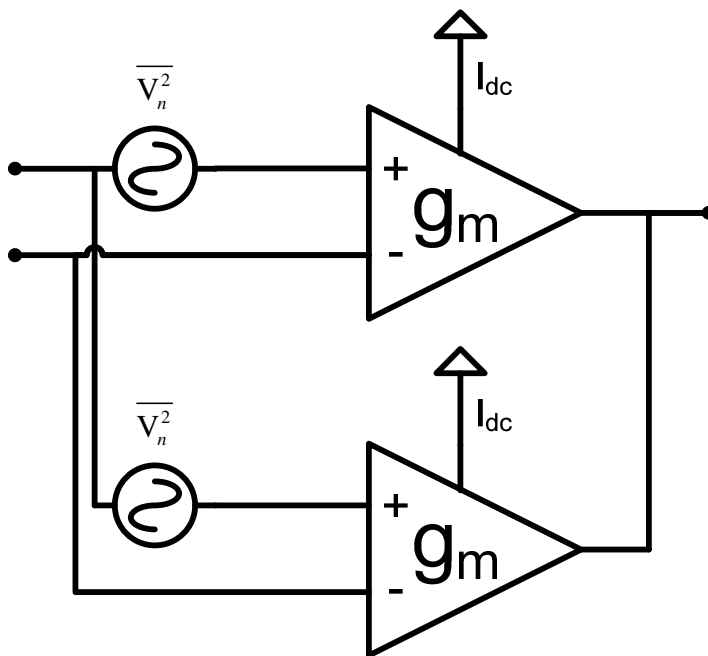


Fig. 34 Parallel connection of two OTAs

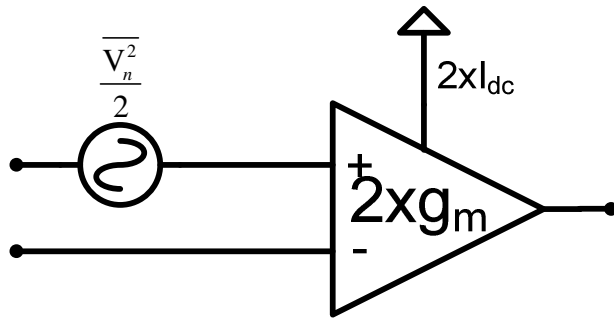


Fig. 35 Noise Equivalent of Two OTAs Combined.

B. Switching Transients

Because of the continuous nature of the input signal, there is no empty time slot to change the power / SNR configuration of the first integrator. In order not to have modulator instability or a hearable popping sound, one should be careful at the transient when the parallel OTAs are connected. The best way to connect the OTA should be: making sure the input and output nodes settle to the active OTA in the loop. This is not reasonable because the input signal and the feedback DAC are changing the output of the first integrator, it would require fast tracking of the input and the output of the integrator, which would cost power and die area. Our research shows that if we make sure the input and the output of the first integrator is kept at the common mode, the effect of the transient is minimal and the output of the decimated input is seamless. Fig. 36 shows the schematic of the switching in an OTA at the active RC configuration. Before connecting the secondary OTA to the loop both inputs are connected to common mode,

meanwhile the input and output are connected by transmission gate switches, so this configuration is a unity gain configuration, where the input is zero. When the OTA is powered up and the output is settled to common mode. Next, the input of the OTA is disconnected from the common mode, and then the switches connecting input to the output are turned off. Finally, the input resistor is scaled to its final value and input and output of the secondary OTA is connected. This power up procedure ensures the transient effect is minimal to the $\Sigma\Delta$ loop.

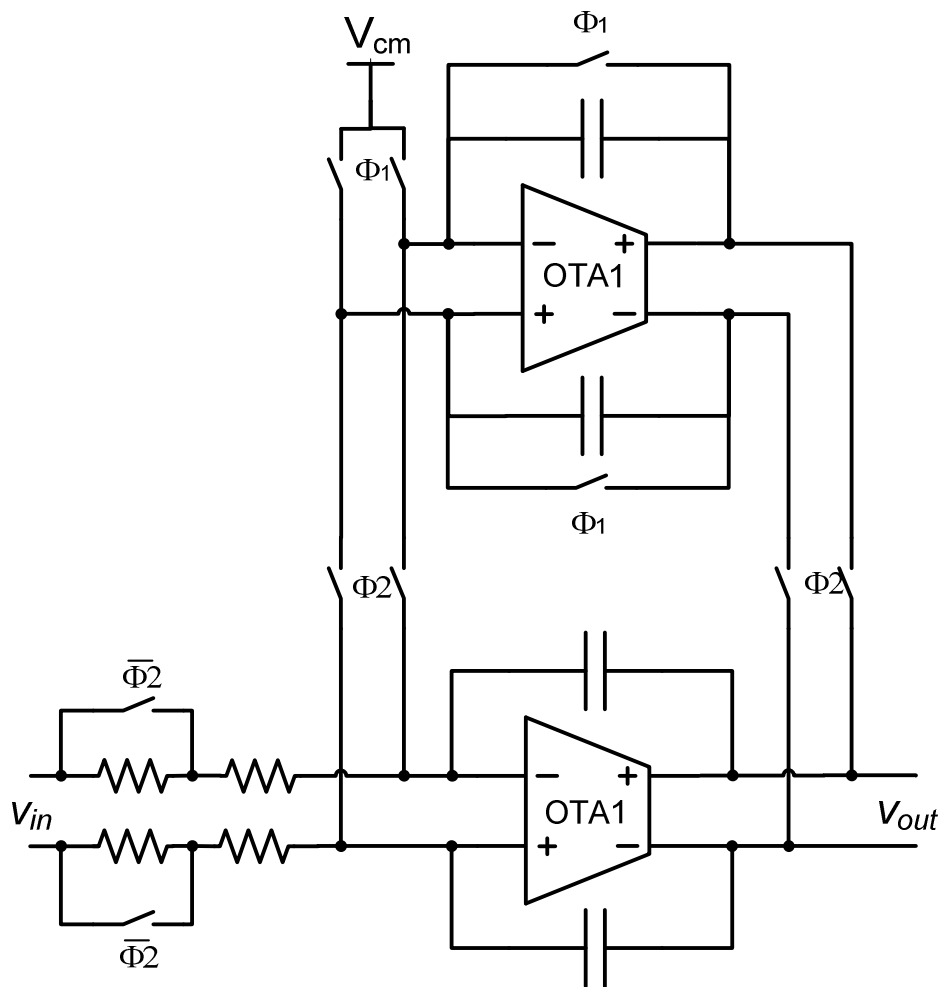


Fig. 36 Switching procedure of the first integrator

VI. IC DESIGN AND MEASUREMENTS

Fig. 27 shows the DHA, the analog front end is fabricated on a $0.25\mu\text{m}$ CMOS process, and the MEMS microphones are fabricated in an ASU clean room with custom MEMS processes. The CT - $\Sigma\Delta$ modulator has a sampling frequency of 1 MHz; with an input signal bandwidth of 10KHz. Fig. 29 shows the measured SNR against the $\Sigma\Delta$ modulator input amplitude at the modulator output. At the highest quiescent power setting, the CT - $\Sigma\Delta$ modulator achieves 68dB SNR, 65dB SNDR, and 60dB THD, respectively. Fig. 30 shows the measured signal transfer function of the $\Sigma\Delta$ modulator. The measured frequency response is flat over the 10 KHz bandwidth, and does not show any frequency peaking.

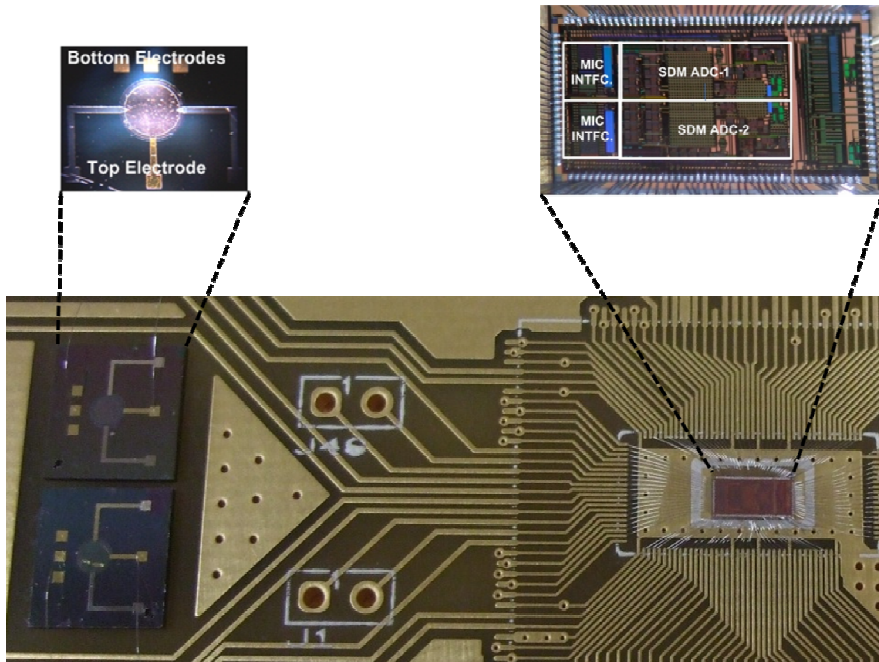


Fig. 37 Micrograph of the dual-channel ADC and application board

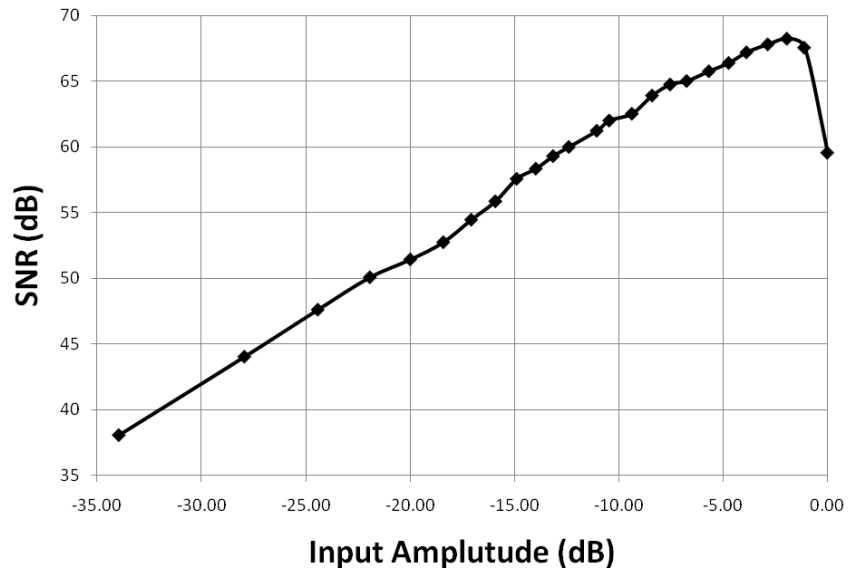


Fig. 38 Measured SNR vs. input signal amplitude

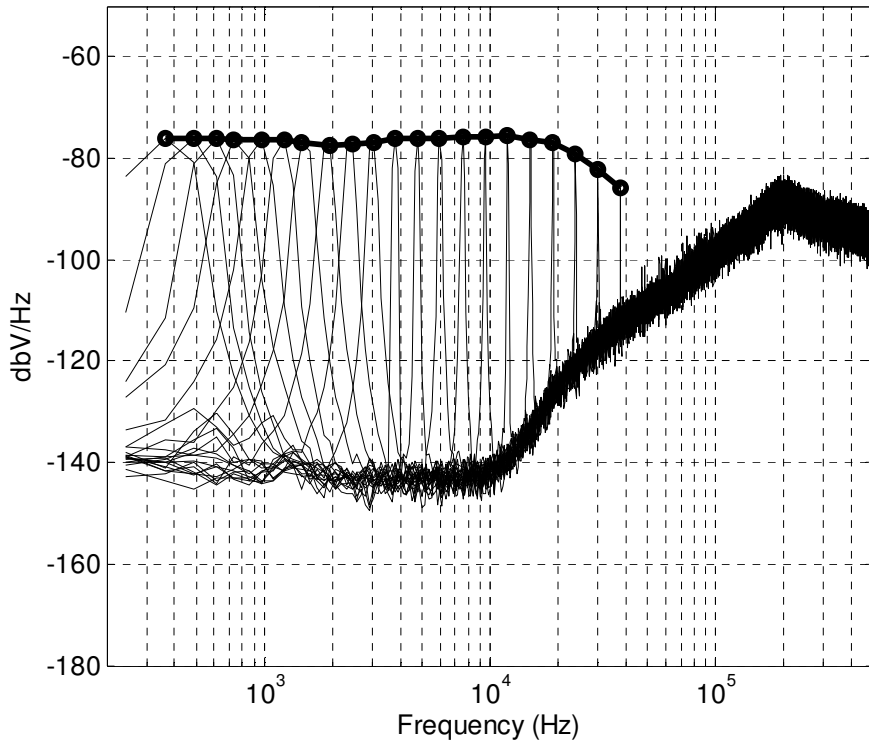


Fig. 39 Measured transfer curve of the $\Sigma\Delta$ ADC showing no peaking and channel gain flatness

Adaptive power scaling of the 4th order CT - $\Sigma\Delta$ achieves 68dB SNR at 120 μ W, which can be scaled down to 61dB SNR at 67 μ W. Fig. 31 shows a measured noise level with zero input for the four power settings. The noise floor decreases about 2-3 dB with each doubling of the first integrator power. Fig. 32 shows the transient response of the power switching. In this test the power of the modulator is changed from minimum to maximum and vice-verse every 1ms. The digital bit stream is then decimated and filtered with a 7th-order digital butterworth filter. The output does not show dramatic artifacts (popping or clicking). This measurement shows that when the environment changes and the DSP commands a power level change, the modulator adapts to the change quickly, with only very little amplitude change. An environment change will happen in a low repetition rate, once every second or minute, the amplitude change in the output will not be recognized by the user.

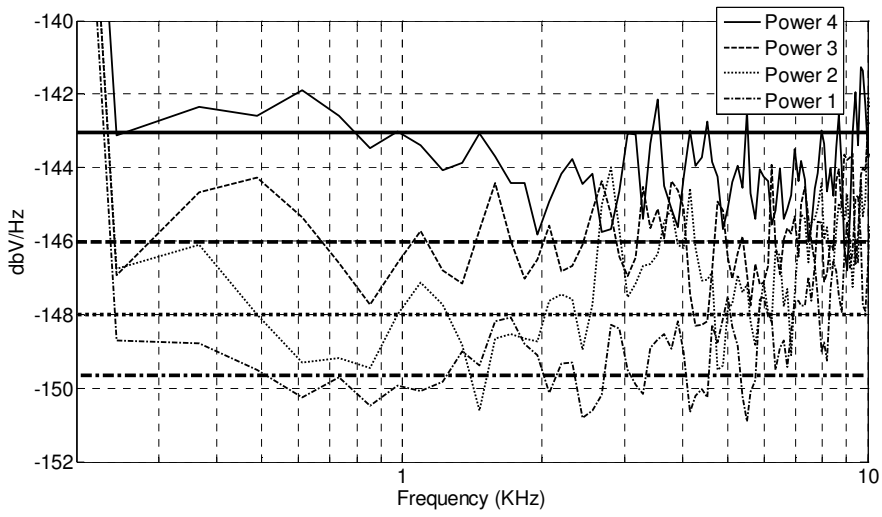


Fig. 40 Zero Input Noise Floor for Power Programming

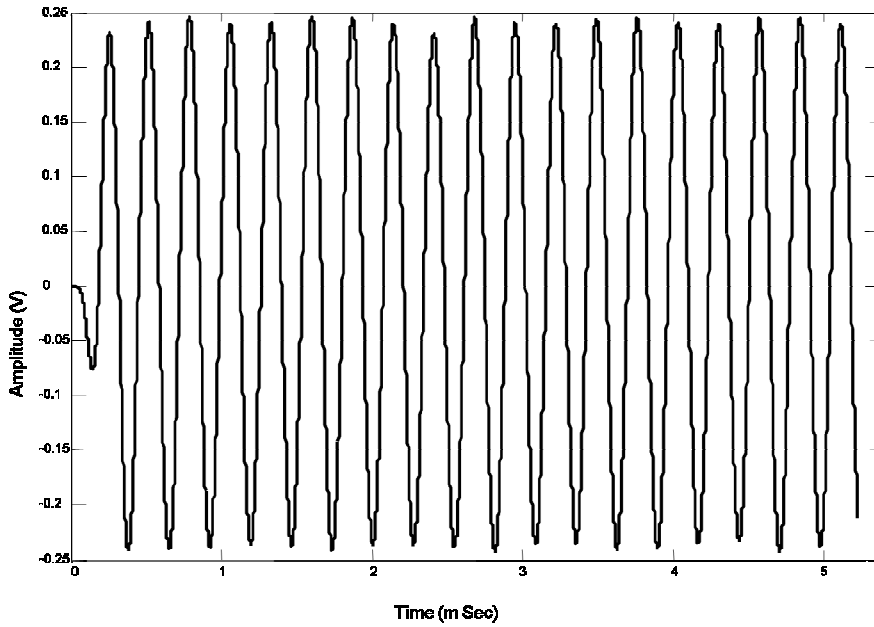


Fig. 41 Transient effects of dynamic power scaling

VII. CONCLUSION AND FUTURE WORKS

In this thesis a power scalable $\Sigma\Delta$ ADC for a dual channel, transmitter front-end digital hearing aid is presented. This system can be integrated in a multi-chip module, which will reduce the costs of hearing aids offering superior battery life and background noise suppression. Finally, the power scalable $\Sigma\Delta$ modulator shows a 68dB SNR over 10 KHz bandwidth.

The future work of this thesis is to integrate the microphone interface circuitry, which would supply the DC bias and capacitance to the voltage conversion of the MEMS microphone. The DSP control architecture should be developed to find the environment condition and minimize the power dissipation. The sensitivity of the two channels should be investigated; digital and/or analog compensation for this mismatch should be designed to maximize the directivity of the system.

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APPENDIX

I. Analog to Digital Conversion

Analog to digital conversion is performed in two steps, first continuous time signal is sampled according to the sampling theorem, and then the amplitude of each sample is quantized into finite number of amplitude levels.

Any band limited continuous time signal can be represented by its discrete time samples. Sampling can be represented on time domain by multiplying the input signal by a pulse train with period of ' T_s '. This in frequency domain corresponds to convolution with a pulse train with period of ' f_s '. Nyquist theorem states that if the signal is sampled at least twice the frequency of its bandwidth, there is no distortion of the sampling. Fig. 42 shows the representation of the sampling at the time and frequency domain.

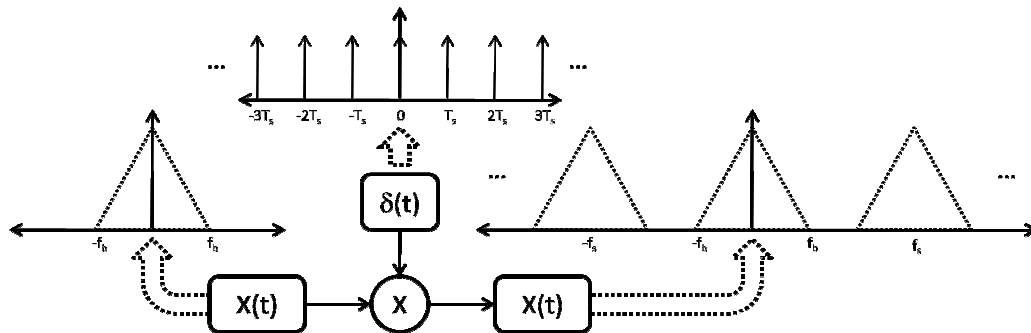


Fig. 42 Sampling of Continuous Time Signals

If the signal bandwidth is more than the half sampling frequency, the signal folds into itself and aliasing occurs. The effect of aliasing is a distortion

and it cannot be reversed. Fig. 43 shows the effect of the aliasing in the frequency domain.

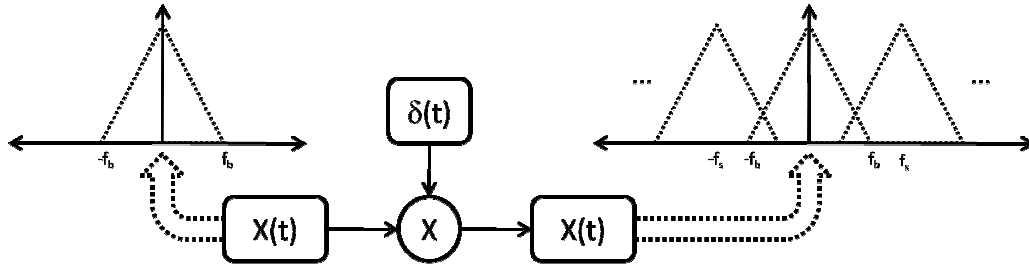


Fig. 43 Effect of Aliasing

In a digital system, there is finite number of amplitude levels to represent each sample. In order to process the analog samples, they should be quantized.

Fig. 44 shows the input output relationship of a 2 bit quantizer. It can be seen that after the quantization, there is an error term introduced. In order not to see aliasing input signal should be filtered with an anti-aliasing filter before the sampling operation.

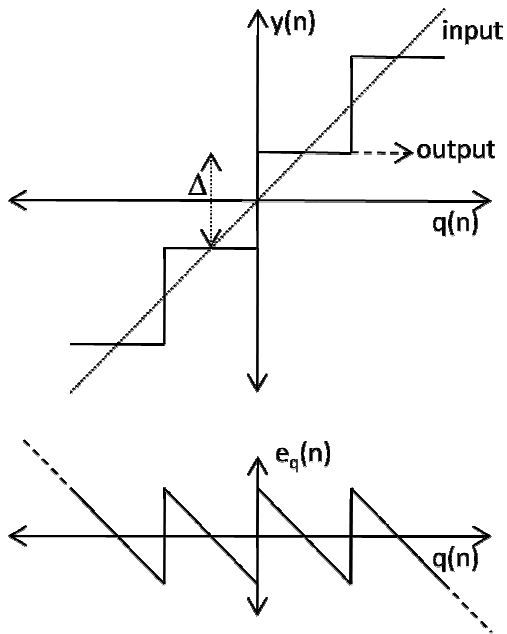


Fig. 44 Quantization

If we assume the quantization error is white and uniform, the power spectral density of the noise can be found easily. Fig. 45 shows the probability density function and power spectrum of the noise.

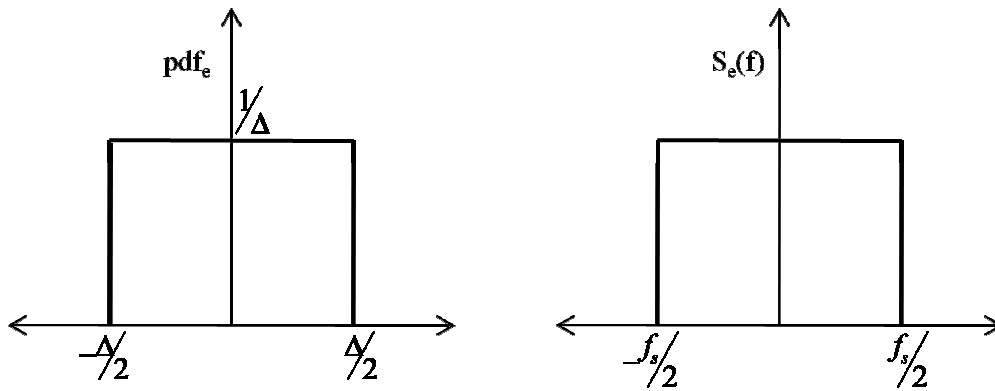


Fig. 45 Quantization Error

The total quantization power can be calculated from the equation below using the power density function.

$$\sigma_e^2 = \int_{-\infty}^{\infty} e^2 \cdot pdf_e \cdot de \quad (5)$$

$$\sigma_e^2 = \int_{-\Delta/2}^{\Delta/2} e^2 \cdot \frac{1}{\Delta} \cdot de = \frac{\Delta^2}{12} \quad (6)$$

At the frequency domain, the total noise power is going to distribute from $-f_s/2$ to $f_s/2$, so the power spectrum of the noise can be written as:

$$S_e(f) = \frac{\Delta^2}{12} \cdot \frac{1}{f_s} \quad (7)$$

So for a nyquist converter Signal to Quantization Noise Ratio (SQNR) of the ADC can be calculated as following:

$$\Delta = \frac{1}{2^N - 1} \quad (8)$$

$$P_{sig} = \left(\frac{1}{2\sqrt{2}} \right)^2 \quad P_e = \frac{\Delta^2}{12} = \frac{1}{12} \cdot \frac{1}{2^{2N}} \quad (9)$$

$$SQNR = 10 \cdot \log_{10} \left(\frac{P_{sig}}{P_e} \right) \quad (10)$$

$$SQNR = 10 \cdot \log_{10} \left(\frac{\left(\frac{1}{2\sqrt{2}} \right)^2}{\frac{1}{12} \cdot \left(\frac{1}{2^N - 1} \right)^2} \right) \quad (11)$$

$$SQNR = 1.76 + 6.02 \cdot N \quad (12)$$

Where N is the number of bits used at the quantizer output. This shows that ever one bit added to the quantizer, we gain about 6 dB at SQNR.

Because quantization error power density is inversely proportional to the sampling frequency, if we sample the input signal with higher a frequency than the nyquist frequency we can achieve higher SQNR. This technique is called oversampling. Oversampling ratio (OSR) is defined as the ratio of the sampling frequency to the nyquist frequency.

$$OSR = \frac{f_s}{2f_b} \quad (13)$$

Where, f_b is the bandwidth of the input signal. If we integrate the noise power from $-f_b$ to f_b we can see the SQNR improvement:

$$P_e = \int_{-f_b}^{f_b} \frac{\Delta^2}{12} \cdot \frac{1}{f_s} df \quad (14)$$

$$P_e = \frac{\Delta^2}{12} \cdot \frac{2 \cdot f_b}{f_s} = \frac{\Delta^2}{12} \cdot \frac{1}{OSR} \quad (15)$$

we can rewrite the SQNR from this noise power:

$$SQNR = 10 \cdot \log_{10} \left(\frac{P_{sig}}{P_e} \right) \quad (16)$$

$$SQNR = 10 \cdot \log_{10} \left(\frac{\left(\frac{1}{2\sqrt{2}} \right)^2}{\frac{1}{12} \cdot \left(\frac{1}{2^N - 1} \right)^2 \cdot \frac{1}{OSR}} \right) \quad (17)$$

$$SQNR = 1.76 + 6.02 \cdot N + 10 \cdot \log_{10}(OSR) \quad (18)$$

This shows that for every doubling of the sampling frequency we get 3.01 dB better SQNR. Which also corresponds to, every quadruple of the sampling frequency we gain an equivalent bit.

II. SQNR Calculation of $\Sigma\Delta$ ADCs

$$Y(z) = X(z) \cdot \frac{H(z) \cdot k_q}{1 + H(z) \cdot k_q} + E(z) \cdot \frac{1}{1 + H(z) \cdot k_q} \quad (19)$$

For a first order $\Sigma\Delta$ modulator, the loop filter is a simple integrator shown below:

$$H(z) = \frac{z^{-1}}{1 - z^{-1}} \quad (20)$$

Assuming the quantizer gain is unity the signal transfer function is:

$$STF = \frac{\frac{z^{-1}}{1 - z^{-1}}}{1 + \frac{z^{-1}}{1 - z^{-1}}} = z^{-1} \quad (21)$$

This is just a clock cycle delay. The noise transfer function is:

$$NTF = \frac{1}{1 + \frac{z^{-1}}{1 - z^{-1}}} = 1 - z^{-1} \quad (22)$$

This is a high pass filter. So at the low frequencies $\Sigma\Delta$ modulator reduces the noise of the quantizer, which gives a higher SQNR.

If we derive the output noise power:

$$P_Q = P_e \cdot |NTF|^2 \quad (23)$$

$$P_Q = \int_{-f_b}^{f_b} \left(\frac{\Delta^2}{12} \cdot \frac{1}{f_s} \right) \cdot |1 - z^{-1}|^2 df \quad (24)$$

$$P_Q = \int_{-f_b}^{f_b} \left(\frac{\Delta^2}{12} \cdot \frac{1}{f_s} \right) \cdot \left(4 \cdot \sin^2 \left(\pi \frac{f}{f_s} \right) \right) df \quad (25)$$

Where $\sin(\pi \frac{f}{f_s}) \approx \pi \frac{f}{f_s}$ when $\pi \frac{f}{f_s} \approx 0$, i.e. $OSR \gg 1$

$$P_Q = \int_{-f_b}^{f_b} \left(\frac{\Delta^2}{12} \cdot \frac{1}{f_s} \right) \cdot \left(4 \cdot \pi^2 \left(\frac{f}{f_s} \right)^2 \right) df \quad (26)$$

$$P_Q = \frac{\Delta^2}{12} \cdot \frac{\pi^2}{3} \cdot \left(\frac{2 \cdot f_b}{f_s} \right)^3 \quad (27)$$

Where $OSR = \frac{f_s}{2 \cdot f_b}$

$$P_Q = \frac{\Delta^2}{12} \cdot \frac{\pi^2}{3} \cdot \left(\frac{1}{OSR} \right)^3 \quad (28)$$

So for a 1 bit quantizer the SQNR expression can be derived as:

$$SQNR = 10 \cdot \log_{10} \left(\frac{\left(\frac{1}{2\sqrt{2}} \right)^2}{\frac{1}{12} \cdot \left(\frac{1}{2^N - 1} \right)^2 \cdot \frac{\pi^2}{3} \cdot \left(\frac{1}{OSR} \right)^3} \right) \quad (29)$$

$$SQNR = -3.4 + 6.02 \cdot N + 30 \cdot \log_{10}(OSR) \quad (30)$$

Which means, every doubling of the OSR, SQNR increases 9 dB at a first order $\Sigma\Delta$ Modulator.

For higher order modulators desired Noise Transfer Function is defined below:

$$NTF = (1 - z^{-1})^m \quad (31)$$

Where, 'm' is the order of the modulator. The Signal Transfer Function then becomes:

$$STF = z^{-m} \quad (32)$$

From this NTF, SQNR can be calculated as:

$$SQNR = 10 \cdot \log_{10} \left(\frac{\left(\frac{1}{2\sqrt{2}} \right)^2}{\frac{1}{12} \cdot \left(\frac{1}{2^N - 1} \right)^2 \cdot \frac{\pi^{2 \cdot m}}{2 \cdot m + 1} \cdot \left(\frac{1}{OSR} \right)^{2 \cdot m + 1}} \right) \quad (33)$$