

## 1 ABSTRACT

2  
3 Important antibiotics in human medicine have been used for many decades in animal agriculture  
4 for growth promotion and disease treatment. Several publications have linked antibiotic  
5 resistance development and spread with animal production. Aquaculture, the newest and fastest  
6 growing food production sector, may promote similar or new resistance mechanisms. This  
7 review of 650+ papers from diverse sources examines parallels and differences between land-  
8 based agriculture of swine, beef, and poultry and aquaculture. Among three key findings was,  
9 first, that of 51 antibiotics commonly used in aquaculture and agriculture, 39 (or 76%) are also of  
10 importance in human medicine; furthermore, six classes of antibiotics commonly used in both  
11 agriculture and aquaculture are also included on the World Health Organization's (WHO) list of  
12 critically important/highly important/important antimicrobials. Second, various zoonotic  
13 pathogens isolated from meat and seafood were observed to feature resistance to multiple  
14 antibiotics on the WHO list, irrespective of their origin in either agriculture or aquaculture.  
15 Third, the data show that resistant bacteria isolated from both aquaculture and agriculture share  
16 the same resistance mechanisms, indicating that aquaculture is contributing to the same  
17 resistance issues established by terrestrial agriculture. More transparency in data collection and  
18 reporting is needed so the risks and benefits of antibiotic usage can be adequately assessed.

19  
20 **Keywords:** Agriculture, Aquaculture, Antibiotic Resistance, Resistance Mechanisms

## 21 INTRODUCTION

22  
23  
24 Antibiotics are arguably the most successful and important family of drugs developed for the  
25 protection of human health. Since the discovery of penicillin in 1928, over 100 antibiotics have  
26 been discovered and used, with the majority of these being introduced before 1970 (1). With the  
27 unveiling of each new antibiotic class, resistant bacterial strains were soon identified thereafter,  
28 and treatment of some are now a major medical challenge. Today, approximately 70% of  
29 characterized nosocomial infections are resistant to at least one clinically relevant antibiotic (2).  
30 Moreover, many strains have been discovered that exhibit multi-drug resistance (MDR) to nearly  
31 all commonly available classes of antibiotics (3). Coded by antibiotic resistance genes (ARGs),  
32 resistance mechanisms such as efflux pumps have made many zoonotic pathogens extremely  
33 difficult to treat, forcing doctors to use antibiotics of last resort, example, the fluoroquinolone  
34 ciprofloxacin, to treat pathogenic *Escherichia coli* strains (4).

35  
36 Usage of antibiotics in the production of food animals to sustain and nurture the world's  
37 continually increasing human population has contributed to the development of antibiotic  
38 resistance (5). In agriculture – referred to in this review as the farming of swine, poultry, and  
39 cattle – uses of antibiotics include disease prevention, treatment, control, and application as  
40 growth-promoting antibiotics (GPA) in order to improve feed utilization and production (5). The  
41 jurisdictions for specific antibiotics allowed and their usage in agriculture vary depending on the  
42 location; for example in the European Union (EU), use of antibiotics for growth promotion is not  
43 allowed (6). In aquaculture – referred to in this review as the production of aquatic seafood in  
44 captivity but excluding plants – application of antibiotics is regulated sparingly, differing greatly  
45 from country to country with little to no enforcement in many of the countries that produce the  
46 majority of the world's aquaculture products (7). Usage purposes are the same as those in

47 agriculture, with the exception that in aquaculture, prophylactic treatment is more common (8).  
48 Previous research has linked agricultural antibiotic usage practices with antibiotic resistance  
49 development, resulting in calls for more judicious usage of antibiotics (5, 9). Many studies have  
50 found drug resistant bacterial strains in agricultural facilities, whether originating in the meat  
51 itself (10-12) or in the surrounding environment (13-15). The same has been shown for  
52 aquaculture (16-18), triggering repeated calls for improved regulation and enforcement (7).  
53 Efforts to document resistance have increased in recent years, a notable one being the National  
54 Antimicrobial Resistance Monitoring System (NARMS) that was established in 1996 as a  
55 collaboration between the US Food and Drug Administration (FDA) Center for Veterinary  
56 Medicine (19), the US Department of Agriculture (USDA), and the Centers for Disease Control  
57 and Prevention (CDC). However, the role of antibiotic usage in agriculture and aquaculture in  
58 the development of resistance and dissemination of ARGs is still poorly understood.

59  
60 Acknowledging the recent growth of aquaculture as a major agricultural sector, this review  
61 explores similarities and differences between antibiotic resistance risks associated with agriculture  
62 and aquaculture. Specifically, we address whether the recent rise of aquaculture is creating new  
63 resistance issues or whether it is simply exacerbating the same ones already established for  
64 agriculture. To answer this question, we first discuss how antibiotics have been traditionally used  
65 in these industries around the world. We then focus on peer-reviewed academic literature  
66 contributions containing data on resistance development in foodborne pathogens. And finally, we  
67 use the United States as a case study to discuss in more detail specific issues identified in the  
68 global analysis.

## 69 **METHODOLOGY**

70  
71  
72 A systematic review was conducted of over 650 reports extracted from the peer-reviewed  
73 academic literature, non-government organizations (NGOs), industry, and government (see  
74 Supplemental Information for full list of documents reviewed). Initial searches started with Web  
75 of Science and Google Scholar using key terms “antibiotics”, “livestock”, “agriculture”,  
76 “aquaculture”, and “food production”. Additional articles were identified using each article’s  
77 reference section and further searches were conducted depending on the topic section.  
78 Information was also obtained through conversations with food production experts. When  
79 possible, the most recent peer-reviewed academic literature was used as the cited reference. A  
80 total of 98 key sources are cited in-text to illustrate key issues, show novel data or ways of  
81 analysis, and highlight key research gaps still awaiting attention in future studies. A full list of  
82 references is available as supplemental information.

83  
84 *Animal Farming and Antibiotic Usage.* In addition to the search terms above, various  
85 country/region names were searched alongside (European Union, Brazil, China, etc.). Each  
86 jurisdiction’s official government website was further surveyed to collect relevant data. Non-  
87 government documents such as ones from the Food and Agricultural Organization (FAO) were  
88 also extensively reviewed in this section.

89  
90 *Foodborne Pathogens and Antibiotic Resistance Mechanisms.* A separate search was conducted  
91 to analyze the link between antibiotic resistance and animal production. The initial search of  
92 literature on Web of Science started with the search terms “antibiotics, resistance, and

93 agriculture” and “antibiotics, resistance, and aquaculture/seafood” (see supplemental  
94 information). These results were then filtered based on title to exclude topics that are not covered  
95 in this review (see exclusion criteria in supplemental information). Further literature searches  
96 were conducted as needed using terms such as “drug resistance, seafood, and antimicrobials” in  
97 order to find articles not captured in the primary search.

98

99 *United States Agriculture and Aquaculture*. Much of these data were collected from  
100 governmental websites and through personal communications with personnel from various  
101 organizations such as the National Oceanic and Atmospheric Administration (NOAA) and the  
102 National Resources Defense Council (NRDC).

103

104 The cutoff date for the literature search was September 1, 2014. Information from the 2007 US  
105 Agriculture Census, kindly provided by the Food and Water Watch in raw and processed data  
106 formats, served to create the composite Geographic Information Systems (GIS) illustrations in  
107 Fig. 5. Whenever possible, an update to currently reported data is provided.

108

109 The use of terminology in the field of drug resistance is not always consistent. In this paper, we  
110 define prophylaxis as the precautionary administration of antibiotics at levels predetermined to  
111 be therapeutic in the absence of disease (sometimes also termed “disease prevention”).  
112 “Sub/non-therapeutic” usage of antibiotics refers to the usage of these compounds for growth  
113 promotion at concentrations lower than the dosages required to effectively inhibit the growth of  
114 harmful bacteria.

115

## 116 **AGRICULTURE VS. AQUACULTURE**

117

### 118 *Animal Farming and Antibiotic Usage*

119

120 Over the last sixty years, worldwide production of swine, poultry, and cattle has grown  
121 continuously, with poultry outpacing the others (Figure 1A). World aquaculture production only  
122 became a major animal production industry around 1985 (Figure 1B). Before then, it was a  
123 largely non-commercial affair, representing a traditional way of life for centuries and often  
124 providing the sole reliable source of nourishment for its producers (20). Reasons for the recent  
125 growth of aquaculture include an increased demand for what is now recognized as a healthy  
126 protein choice, advances in seafood feed production, depleted wild fish stocks, and  
127 improvements in farming facilities enabling high-density farming (16, 20). Total seafood  
128 production is now almost evenly split between wild-caught and farmed with the former steadily  
129 becoming stagnant in volume for the past two decades.

130

131 Figure 1 panels C-E show the top countries that produce cattle, swine, and aquacultured seafood.  
132 Perhaps the most important detail here is that the majority (>90%) of aquaculture occurs in Asia  
133 whereas agriculture’s concentrated animal feeding operations (CAFOs) that confine large  
134 populations of animals in buildings or feedlots (9) can be found distributed across several  
135 regions. Aquaculture facilities vary in design, with some keeping animals contained in ocean  
136 nets and others in secluded ponds or reservoirs. In Asia, aquaculture often links to the natural  
137 water environment (21). Many of these freshwater farms irrigate or flow through ponds that

138 often tie with water reservoirs, lakes, and rivers (22). Brackish water aquaculture has more than  
139 doubled over the past decade and is primarily producing shrimp in coastal ponds and tanks (22).

140  
141 Data regarding the classes and amounts of antibiotics used for agriculture and aquaculture  
142 depends on the region. For example, in 2003, salmon aquaculture in Chile used about 0.5 kg of  
143 antibiotic for each kg of salmon produced, whereas the amount in Norway was 0.002 kg (23).  
144 Figure 2 shows the most recent data available regarding antibiotic sales in the US and the EU (25  
145 countries). It is important to keep in mind that antibiotic sales do not equate to antibiotic usage,  
146 and usage information is not readily available or even reported in most cases. In both regions, the  
147 tetracycline class is the largest class of antibiotics sold, comprising about 40% of total sales.  
148 Similar reliable data from other regions of the world proved to be unavailable. Antibiotic sales  
149 and usage in India are not regulated (24, 25). In China, two different reports of antibiotic usage  
150 were found, one stating the annual usage in animal feeds as 6000 tons (26) and the other stating  
151 over 8000 tons were used annually in animal husbandry (27). In Brazil, it has been reported that  
152 the most commonly used antibiotic classes are fluoroquinolones (34% of total antibiotics),  
153 ionophores (20%), and macrolides (10%) (28). Overall, worldwide usage of antibiotics in both  
154 animal production and human medicine has increased in recent decades; agriculture accounts for  
155 the majority of drugs used, and the mass of antibiotics used for the production of terrestrial food  
156 animals is estimated to exceed the amount of drugs used in aquaculture (29).

157  
158 How the antibiotics are used depends on the location and is not typically reported. Global trends  
159 in agriculture, aquaculture, and human medicine point to a steady increase in the usage of  
160 antibiotics. The most important delineation in usage is whether antibiotics are used for growth  
161 promotion. Among the top five cattle- and swine-producing countries (see Figure 1C-D), only  
162 the EU has a confirmed ban on use of GPAs (6). In the US, ionophores are used only in animals  
163 for growth promotion; a usage which is probably true in Brazil as well where ionophores are also  
164 reported to be commonly used (28). It should be noted that ionophores are typically reserved for  
165 animal usage and not for human usage, unlike the other antibiotic classes (30). These drugs can  
166 alter the stomach microorganisms in livestock to increase feed efficiency and energy extraction  
167 in the conversion of feeds (31). As Figure 2 shows, ionophores are absent from EU antibiotic  
168 sales because of the 2006 ban on usage of GPAs in food animals (6, 32). Although there is no  
169 law against GPA usage in the US, the FDA has recently issued formal guidance to industry  
170 strongly urging drug companies to withdraw their GPAs and/or convert their usage guidelines to  
171 “therapeutic only” (33). In China and Russia, antibiotic usage in animals is restricted to using  
172 only non-human medicine drugs (34) and since 2003, several reforms have been attempted in  
173 China to improve food safety (35). However, reports of medically-important antibiotics such as  
174 tetracyclines being used (36) and detections of illegal veterinary antibiotics like chloramphenicol  
175 in Chinese waters suggest that enforcement of the regulation is lax (27, 37). Today, unlike in the  
176 EU (32), no veterinary prescriptions are required in China for use of antibiotics in animals (32).  
177 One of the first steps that can be taken to ensure better monitoring of antibiotic usage is to  
178 require veterinary prescriptions when antibiotics are used in animals (Mathew 2007, Cabello  
179 2006, Maron 2013). This approach is being favored in India, as reported in 2011 in a national  
180 policy document outlining details to contain antibiotic resistance (25). Whereas data on actual  
181 implementation of such measures are scarce, the current trend in published papers indicates that  
182 many countries are taking steps to better regulated and report antibiotic usage.

183

184 The data presented above is for all antibiotics used in animal production, which includes  
185 aquaculture. Specific data for antibiotic usage patterns in aquaculture is available mostly in non-  
186 academic literature from the FAO and reports based on surveys as to what antibiotics are  
187 commonly used. In 2008, a review article identified three antibiotics to be in common use in  
188 aquaculture: oxytetracycline, oxolinic acid, and chloramphenicol (16). A more recent survey  
189 conducted by the FAO of 21 countries engaging in aquaculture confirmed continued use of  
190 oxytetracycline as the top antibiotic applied in the treatment of disease in all major seafood  
191 species (38). Florfenicol and trimethoprim/sulfadiazine were next in line with respect to usage  
192 frequency. Oxytetracycline was also reported as the most widely used antibiotic for prophylactic  
193 treatment. A total of 24 countries were surveyed, including 11 of the top 15 aquaculture  
194 producers; the four countries missing from the survey were Egypt, Japan, South Korea, and  
195 Myanmar.

196  
197 To assess the similarities and differences in antibiotics used for agriculture, aquaculture, and  
198 human health, the 2011 World Health Organization (WHO) list of important antimicrobials was  
199 compared to the above data (39). The WHO list is a categorization system of 260 antimicrobials  
200 created in an effort to contain antimicrobial resistance development and spread and to reserve  
201 key drugs for human medicine (40). This list was intended for public health and animal health  
202 authorities as a reference for prioritizing risk assessment with respect to antibiotic resistance  
203 development. Two criteria are considered for inclusion on this list: first, the antibiotic must be  
204 the sole or one of a few limited available therapies to treat serious human diseases; and second, it  
205 must be used to treat diseases caused either by a) organisms that may be transmitted to humans  
206 from non-human sources or b) human diseases caused by organisms that may acquire resistance  
207 genes from non-human sources. “Critically important” antimicrobials ( $n=162$ ) meet both criteria.  
208 “Highly important” antimicrobials ( $n=88$ ) meet one of the two criteria, and “important”  
209 antimicrobials ( $n=10$ ) meet neither criterion but are still recognized as drugs of importance in  
210 human medicine. In this paper, antibiotics from all three classes were screened for usage  
211 similarity with results shown in Figure 3 (excluding antibiotics listed for veterinary use only).  
212 Six common classes of antibiotics (aminoglycosides, macrolides, penicillins, quinolones,  
213 sulfonamides, tetracyclines) on the WHO list are regularly used in agriculture and aquaculture.  
214 Of the 51 antibiotics reported to be used by the top agriculture and aquaculture countries, 39 are  
215 on the WHO list. Of these 39 antibiotics, only 2 are listed as “important”; the other 37 are either  
216 “critically important” or “highly important”. These numbers indicate that there is extreme  
217 crossover of antibiotic usage in human medicine and animal food production. It is important to  
218 note that data provided in Figure 3 most likely underestimate the antibiotics actually used as this  
219 information is not reported and recorded systematically. The most important message from these  
220 data is that several of the same classes of antibiotics are used for both human medicine and  
221 animal production. This parallel antibiotic usage may be promoting similar resistance issues in  
222 both aquaculture and agriculture.

### 223 224 ***Foodborne Pathogens and Antibiotic Resistance Mechanisms***

225  
226 As shown in the previous section, the antibiotics used in agriculture and aquaculture span many  
227 of the same antibiotic classes. Thus, as agriculture has been using antibiotics for much longer  
228 than aquaculture has, we ask whether the same resistance mechanisms exist in both or if the  
229 latter is promoting the development of new ones. In this section, we identified reported bacterial

230 pathogens from meat and seafood, characterized how resistance may develop, and looked for  
231 resistance development pathways in agriculture and aquaculture. To relate the isolated strains to  
232 human health risks, we focused our identified strains on zoonotic foodborne pathogens.

233  
234 The most prevalent and serious emerging pathogens in agricultural meat products are  
235 *Campylobacter jejuni*, *Salmonella enterica* serovar Typhimurium DT104, and *E. coli* O157:H7  
236 (41). Often, these products are contaminated during handling and processing in the CAFOs  
237 where the animals are slaughtered. Pathogens present in feces and/or animal hides often are  
238 transferred to edible fractions, or spread as aerosols produced during dehiding, evisceration, and  
239 carcass splitting (41). In aquaculture, foodborne diseases are not as well documented, but the  
240 literature shows that *Salmonella* and *Vibrio* spp. are likely to be the most common pathogens  
241 detected in seafood, with *Listeria monocytogenes*, *Aeromonas*, and *Clostridium* spp. becoming  
242 emerging threats (42-44). Cases of human infections from seafood most often arise from  
243 handling, such as contact with the wash water or through processing in the food industry, and by  
244 oral consumption of infected fish or related products (45).

245  
246 Aside from the potential to cause infections in the people that are exposed, these bacteria, along  
247 with others that are less often found, are capable of developing and spreading antibiotic  
248 resistance. In both agriculture and aquaculture, development/persistence of resistance can occur  
249 when these bacteria are exposed to sub-therapeutic concentrations of antibiotics (46). In  
250 terrestrial agriculture, this exposure occurs when antibiotics used for growth promotion are  
251 added as a CAFO feed additive over a period of time for fattening and for increased feed  
252 efficiency (47). In the US, about 55% of all antibiotic usage in cattle is during the feedlot stage  
253 of cattle production (48). The feedlot stage is when the animals weigh in between 700 and 1200  
254 lbs, with average antibiotic dosages estimated at 80 mg/animal/day for about 120 days (48). This  
255 means that these cattle are subject to sub-therapeutic antibiotic concentrations for almost one  
256 third of a year.

257  
258 The commonly cited rationale behind using GPAs is an economic benefit, with average increases  
259 in animal mass reported in the range of four to eight percent (49). Other advantages reported in  
260 the literature include an improvement of animal health, decreases of bacterial contamination in  
261 animal products, a reduction of adverse environmental impacts such as greenhouse gas  
262 emissions, and prevention of water eutrophication (50). However, an economic analysis of using  
263 antibiotics in commercial broiler chickens for growth promotion showed that the net economic  
264 effect of using GPAs is negative, with an estimated lost value of \$0.0093 per chicken or about  
265 0.45% of the total cost; the positive production changes associated with antibiotic use reportedly  
266 were insufficient to offset the cost of more expensive feed (51). The latter study did not consider  
267 the potential benefits of GPA removal in terms of preventing external costs from medical and  
268 public health burdens resulting from antibiotic-resistance infections. Considering such would  
269 further increase the cost incurred by the use of antibiotics. No other such analysis is available in  
270 the literature, and more are needed to assess the economic impact of using GPAs.

271  
272 In aquaculture, sub-therapeutic exposure concentrations are mostly encountered after the  
273 prophylactic use of antibiotics. Unconsumed fish feed and feces can contain residues that persist  
274 in the surrounding environment (52), allowing for bacteria to be exposed to low concentrations  
275 that can select for resistance. The exposed bacteria then can spread ARGs to the natural

276 microbiota in nearby ecosystems, which may pose a greater threat than low levels of residues, as  
277 resistance genes may persist for decades due to the marginal impact of gene maintenance on  
278 fitness (7). As previous studies suggest that the environment already harbors ARGs (53), the  
279 mixing of residues that is made easier via the water pathway make aquaculture more likely to  
280 spread contaminants compared to agriculture. In many cases, these compounds are only slightly  
281 transformed, or even unchanged and conjugated to polar molecules, allowing for easier  
282 dispersion in water (54). The added potential impacts on the environment include direct  
283 antibiotic toxicity in natural microbiota, flora, and fauna, have been voiced in literature (21, 55).  
284 However, not all detected antibiotic concentrations are environmentally relevant enough to  
285 negatively impact invertebrates and fish (56, 57). These reports in literature indicate that the risks  
286 associated with antibiotic residues in aquaculture may vary depending on the situation and that  
287 there is a gap in knowledge regarding residues and their effects on resistance development. It  
288 must be noted that the usage of antibiotics in animal production has provided many benefits as  
289 well. Antibiotics have allowed for animal health to be improved, increasing economic gain for  
290 the farmers, as pathogens are significantly reduced when antibiotics are utilized (47, 50).  
291 However, despite these benefits, we cannot ignore the risks and potential negative human health  
292 and environmental impacts.

293  
294 To compare the potential for agriculture and aquaculture to be developing the same mechanisms  
295 of antibiotic resistance, we reviewed reports in literature of bacterial isolates resistant to  
296 commonly used antibiotics in these food production industries. In agriculture, four common  
297 resistance mechanisms have been identified (Figure 4). These categories are presented very  
298 broadly to be more inclusive; “altered intracellular target” can mean any mutation that allows for  
299 ribosomal active site changes or an RNA polymerase mutation that leads to reduced binding of  
300 the antibiotic (58). Antibiotics in many classes can be ineffective against these mechanisms; both  
301 macrolides and penicillins can be pumped out of the bacterial cell by efflux pumps, for example.  
302 In other words, co-resistance can occur with any of these mechanisms. The zoonotic pathogens  
303 of concern listed in Figure 4 are typical examples of bacteria exhibiting the common resistance  
304 mechanisms. For example, *P. aeruginosa* is well known for expressing MDR efflux pumps (59).  
305 Examples of these pathogens isolated from agriculture that have been molecularly shown to  
306 harbor each resistance mechanism’s ARGs are also shown in Figure 4. Many are resistant to  
307 several antibiotics, but ones commonly used in agriculture are noted.

308  
309 The same four mechanisms were also found to be associated with aquaculture. Zoonotic  
310 pathogens resistant to aquaculture antibiotics have been isolated from seafood containing all of  
311 the four resistance mechanisms (18, 60-62). Some of these microbes are relevant pathogens in  
312 agricultural products as well (i.e. *Salmonella*). Tetracycline resistance is the most commonly  
313 seen resistance among bacterial isolates from aquaculture; a recent study showed that as the  
314 number of resistance reports increased, so did the incidence of tetracycline resistance (63).  
315 Among 23 publications on drug resistant bacteria isolated from seafood for human consumption,  
316 21 reported resistance to at least one antibiotic belonging to the class of tetracyclines. This  
317 previous study only reported publications from 2003-2013 and limited the search to bacterial  
318 strains from seafood products only (excluding aquaculture facilities, the surrounding water, etc.).  
319 If the exclusions were not applied, the number of resistant strains isolated would most likely  
320 increase. The major issue with detections of specific resistance determinants such as efflux  
321 pumps is the ability of these genes to be spread via horizontal gene transfer, possibly to bacteria

322 that are even more pathogenic to humans. In both aquaculture and agriculture, native  
323 environmental bacteria are mixed with zoonotic bacteria, providing a situation where resistance  
324 can develop, spread, and linger amongst them. The biggest human health risk is coming into  
325 contact with pathogenic bacteria that are also resistant to multiple antibiotics, especially ones  
326 from different classes. As noted above, several such cross-resistant isolates have already been  
327 found in terrestrial agriculture and aquaculture. These data suggest that identical resistance  
328 mechanisms are being promoted and developed in both agriculture and aquaculture. Alarminglly,  
329 some of the same pathogens have been isolated from both seafood and meat. Different strains of  
330 MDR *Salmonella* were isolated containing the same resistance genes from both shellfish and  
331 pork (64). Similarly, *E. coli* strains isolated from pork, beef, poultry, and fish were resistant to  
332 several tetracyclines (65). This review only focuses on human health risks posed by edible  
333 animal products themselves, but it should be noted that additional risks result from the  
334 processing and handling of all materials involved, such as the disposal of animal feces containing  
335 resistant bacteria (66). The studies available and examined for this work show that the same  
336 resistance mechanisms are being promoted in agricultural and aquacultural environments  
337 (including processing and handling), thereby allowing for resistance to develop and spread via  
338 food and the environment, resulting in significant human health threats.

339

## 340 **CASE STUDY: UNITED STATES AGRICULTURE AND AQUACULTURE**

341

### 342 ***Animal Farming and Antibiotic Usage***

343

344 The US is one of the largest producers of agriculture in the world, ranking (counting beginning  
345 year stock numbers) 4<sup>th</sup> in 2013 cattle production at approximately 89 million head and 3<sup>rd</sup> in  
346 swine production at approximately 66 million head (67). As seen in Figure 5, the cattle and  
347 swine industries dominate over the poultry industry, with much higher densities reported for  
348 many of the US counties and states shown. These data (Figure 5A-D) are from the 2007 USDA  
349 Agricultural Census, which conducts a new survey every five years (the 2012 report is expected  
350 to be released within the next year). Shown at the county level, the majority of the US cattle,  
351 swine, and poultry farming is done in the Great Plains states and along the west border of the  
352 Mississippi river. These geographic locations differ, as one would expect, from the locales of  
353 aquaculture, which are largely situated near the ocean and along the Gulf of Mexico (Figure 5E).

354

355 Aquaculture can be divided into freshwater and saltwater culture (Figure 5E). By value of  
356 production, saltwater and freshwater aquaculture in the US contributed approximately \$800 and  
357 \$550 million dollars, respectively, in 2011 (68). About two-third by value of saltwater (or  
358 marine) aquaculture consists of mollusks such as oysters, clams, and mussels (69). This type of  
359 aquaculture takes place in cages that are located on the ocean floor or suspended in water column  
360 (70). The majority of this farming is done in the northwest region of the US (see Figure 5E for  
361 blue pie chart inserts) and in Washington and Oregon. Freshwater aquaculture is predominated  
362 by trout, catfish, and tilapia (68). Figure 5 only shows the density of aquaculture farms contained  
363 in each state based on the 2005 Agricultural Census, but these numbers don't necessarily reflect  
364 the amount of production. The top 5 aquaculture states by value in 2005 were as follows:  
365 Mississippi, Arkansas, Alabama, Louisiana, and Washington, together producing about a half a  
366 billion dollars worth of products, which is about half of the total US value produced (71).

367



368 As production of cattle, poultry, and swine expanded to large-scale productions over the last  
369 half-century, the usage of antibiotics in agriculture has also become the norm and has greatly  
370 increased. Based off of FDA reports, we calculated that in 2011, 80% of the antibiotics sold by  
371 weight were designated for animal usage (72, 73). This percentage was calculated from the  
372 annual FDA released summary report on antimicrobials sold/distributed for food-producing  
373 animals (13.5 million kg) and from the FDA drug use review, where sales numbers for human  
374 medicine usage (3.29 million kg) were obtained (73). Similar numbers have previously been  
375 reported by several other NGOs, including the Natural Resources Defense Council (74, 75), the  
376 UCS, and the Center for Science in the Public Interest, among others (Table 1). These  
377 organizations primarily based their estimates on annual FDA summary reports for antimicrobials.  
378 However, the numbers reported by the Animal Health Institute (AHI) are much different,  
379 resembling those reported by the US Farmers and Ranchers Alliance, another entity representing  
380 the industry. The AHI estimates that only about 35% of antibiotics in the US is used in animals  
381 for food production (48).

382  
383 A second data discrepancy requiring more transparency is what antibiotics are annually used in  
384 animal production as well as their frequency of usage. This reporting is difficult in part because  
385 animal producers are not required to report this information, but also because “non-therapeutic”  
386 or “sub-therapeutic” usage of antibiotics can mean different things. As the FDA allows  
387 antibiotics to be used for growth promotion, feed efficiency, disease and metaphylaxis, it is hard  
388 to specifically enumerate the amount of antibiotics used in each of these categories (76). Thus, it  
389 must be noted that the numbers reported in Table 1 column “Reported Sub-Therapeutic Usage”  
390 are only estimates by a few organizations and that these numbers may not reflect the situation  
391 accurately. As the FDA is now required to report antimicrobial usage numbers, the next step  
392 would be to report what the antibiotics are used for. Recent FDA/CVM guidance now provides  
393 recommendations for industry to voluntarily align their products with FDA #209 (77). This  
394 guidance includes two principles: 1) limiting medically important antimicrobials to uses in food-  
395 producing animals that are considered necessary for assuring animal health and 2) limiting these  
396 usages to only those with veterinary oversight or consultation (77). These guidelines encourage  
397 better documentation and usage practices.

398  
399 With regards to aquaculture production, the US produces a relatively low amount compared to  
400 other countries. This is partly due to the fact that China provides close to 70% of total  
401 aquaculture products, as well as the fact that the US imports about 90% of its seafood. There is a  
402 major effort in place to expand the aquaculture industry in the US, so that the reliance on  
403 imported fish is reduced. The US is a leading global consumer of fish and fishery products, and  
404 yet only about 5-7% of the national supply comes from its aquaculture industry (70). It has been  
405 estimated that up to 433,000 lbs (approximately 196,000 kg) of antibiotics were used in 2002 in  
406 US aquaculture (78). These data indicates that the vast majority (approximately 80%) of animal  
407 antibiotics used in the US are used in agricultural animal production (see table 1). Antibiotics do  
408 not improve growth or feed efficiency in fish like they have been reported to do in certain  
409 livestock (79). The usage of vaccines has also greatly limited antibiotic usage in the US, and at  
410 present, only three antibiotics are registered and sold for disease control in fish: oxytetracycline,  
411 florfenicol, and sulfadimethoxine/ormetoprim (80). Thus, it can be assumed that the majority of  
412 the antibiotics used for food-producing animals in the US is in livestock, which is most likely the  
413 case in other countries as well (29).

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### ***Foodborne Pathogens and Detected Resistance***

In the US, foodborne pathogens of concern in agricultural meats are *E. coli*, *Salmonella*, and *Campylobacter*. The NARMS Retail Meat Annual Report of 2011 identifies *E. coli* as the most commonly detected bacterium in all retail meat products (19). Out of 1,920 retail meats tested in 2011, 55.7% were found to culture positive for *E. coli*. Although no isolates were resistant to ciprofloxacin, some isolates were shown to be resistant to third-generation cephalosporins, and co-resistances to other  $\beta$ -lactam compounds were reported. For *Salmonella*, the three serotypes most commonly detected were Typhimurium, Kentucky, and Heidelberg. Resistance to ampicillin rose from 17% of isolates in 2002 to 41% in 2011. A similar trend was seen for third-generation cephalosporins (from 10% to 34%). Most concerning is the fact that 45% of retail chicken harbored isolates featuring resistance to three or more classes of antimicrobials. Approximately 27% showed resistance to at least 5 classes. With regards to *Campylobacter*, the species *jejuni* and *coli* were most commonly detected. The majority of the isolates (90%) were from retail chicken. Although macrolide resistance has remained low, tetracycline resistance increased by about 10% of isolates for both species from 2010 to 2011. MDR was low in *Campylobacter*, as only 9 out of 634 isolates were resistant to at least three antimicrobial classes. *Enterococcus (faecalis and faecium)* is used as a sentinel for antibiotic selection pressures by anti-gram-positive antibiotics. Vancomycin resistance was not detected, and streptogramin resistance has significantly decreased in retail chicken from 56% of isolates in 2002 to 27% in 2011. Overall, it seems that most of the risk is from gram-negative bacteria and gram-positive bacteria pose a lesser risk to humans in retail meats. In reference to Figure 4's resistance pipelines, these data support the notion that feeding food production animals with antibiotics like ampicillin and tetracycline may contribute to the increased drug resistances observed in the US as shown in NARMS data (19).

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In US aquaculture, as most of the seafood is imported, foodborne pathogens of concern are often ones that are considered food safety risks overseas as well. In 2004, it was reported that eating contaminated seafood resulted in about 15% of the reported foodborne outbreaks in the US. This is a greater percentage than was found for either meat or poultry, which are consumed at volumes eight and six times higher than those of seafood (81). Our literature search shows that *Vibrio* spp. and *Salmonella* are the most commonly isolated zoonotic pathogens from seafood. Specifically, *V. vulnificus*, followed by *parahaemolyticus*, are the two most important *Vibrio* spp. noted, causing gastroenteritis that may lead to septicemia (82). *Vibrio* spp. are a natural inhabitant of many aquatic organisms and are the leading cause of seafood-related deaths in the US (83). Mostly a concern in oysters, *Vibrio* spp. have been isolated and characterized in several studies (84-86). Antibiotic residue in bivalves is not a significant concern because they are not fed feed as they are filter feeders that survive on particles in the water (79). *Salmonella* are an issue in almost all types of seafood, and species distribution is broad, with frequently reported serotypes including Weltevreden, Senftenberg, Lexington, and Paratyphi-B (87). Mostly of human origin, *Salmonella* also causes gastroenteritis, and primarily contaminates seafood during processing (88). This is similar to agricultural meat products, where *Salmonella* is also an important foodborne pathogen. Recent seafood outbreaks include three in 2011 where a total of 168 cases resulted in 48 hospitalizations and 1 death (75). The *Salmonella* isolated in the latter

460 study were all resistant to ampicillin, tetracycline, and amoxicillin/clavulanic acid, all of which  
461 are on the WHO list. These data suggest that resistance in zoonotic pathogens isolated from  
462 commonly eaten meats and seafoods is prevalent and a growing concern for the food industry.  
463

## 464 **CONCLUSIONS**

465  
466 Swine, cattle, and poultry agriculture all have relied on antibiotic usage for over half a century,  
467 promoting the development and spread of antibiotic resistance. As aquaculture continues to  
468 grow, the knowledge gap regarding how antibiotic usage, development of resistance  
469 mechanisms, and human health risks connect with each other must be filled with scientific  
470 research and results. Here, we present data showing that agriculture and aquaculture share many  
471 similarities, from the antibiotics used to the resistance mechanisms shared by the zoonotic  
472 pathogens corresponding to these two important food production sectors. The bacteria isolated  
473 from both meat and seafood have been reported to display resistance to antibiotics commonly  
474 applied in animal production. From the data gathered here, it is concluded that the recent growth  
475 of aquaculture is contributing to the development of the same resistance mechanisms also seen in  
476 agricultural production. The usage of antibiotics provides selective pressure that can accelerate  
477 ARG development and spread. As zoonotic pathogens have been isolated exhibiting resistance  
478 mechanisms known to be effective against multiple antibiotics, co-resistance is increasingly  
479 becoming a major concern. The lack of data and discrepancies in existing data regarding  
480 antibiotic usage contribute to the fact that it is challenging at present to accurately determine the  
481 magnitude of influence both aquaculture and agriculture has on resistance development.  
482 However, as water provides a constant and facile mechanism for dispersal of drug residues,  
483 microbial pathogens, and resistance genes, aquaculture will continue to pose a threat that may  
484 increase as the demand for seafood increases.  
485

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821 **Table 1.** Total reported US antibiotic usage (in million kg) by animal industry and for human  
 822 health.

Reporting Source	Year Reported <sup>a</sup>	Total Amt. Sold for Food Production Animals (Million kg)	Reported Sub-Therapeutic Usage <sup>b</sup> Million kg (% of Total Animal Amount)	Total Human Usage (Million kg)	% of Total AB Sold is for Animals	Reference
AHI	2001	8.1	1.4 (18%)	14.6	35%	(48)
UCS	2001	12.5	11 (88%)	3	70%	(48)
USFRA	2007	NR	(13%)	NR	NR	(90)
FDA; Rep. Slaughter	2009	13.1	NR	3.3	80%	(91, 92)
CSPI, NRDC, This Review	2011	13.5	NR	3.3	80%	(72-75)

<sup>a</sup>Year reported does not always correspond to year data was collected/formulated. NR= not reported in publication.

<sup>b</sup>Reported sub-therapeutic usage, does not differentiate between amounts of antibiotics used for prophylaxis, metaphylaxis, growth promotion, or feed efficiency.

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825 **Legends to Figures**

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827 **Figure 1.** Animal production values 1950-2011 and top producing countries of cattle, swine, and  
828 aquaculture. **A)** 1950-2011 world production of pork (purple), beef (blue), poultry (green), and  
829 total for all three (gray). **B)** 1950-2011 world production of total seafood (orange), wild-caught  
830 seafood (red), and aquacultured seafood (yellow). **C)** Top 5 cattle producing countries in 2013,  
831 counting only beginning stocks by head. **D)** Top 5 swine producing countries in 2013, counting  
832 only beginning stocks by head. **E)** Top 15 aquaculture producing countries in 2010 by  
833 percentage of total world production. (67, 93, 94)

834

835 **Figure 2.** Antibiotic classes sold for use by animal production industries in US and EU (25  
836 countries) in 2011. Total sold in US is approximately 13.5 million kg. Total sold in EU is  
837 approximately 8.4 million kg. (73, 95)

838

839 **Figure 3.** Common antibiotics used in aquaculture, agriculture, and included in the 2011 WHO  
840 antimicrobials list. Displayed as number of antibiotics followed by antibiotic class. Aquaculture  
841 antibiotics include the ones reported to be used by top 15 aquaculture-producing countries.  
842 Agricultural antibiotics include the ones used in cattle, swine, and poultry farming. WHO  
843 antibiotics are ones on the antimicrobial list in all three labels: “critically important”, “highly  
844 important”, and “important”. (16, 34, 39, 50, 54, 96)

845

846 *Aquaculture:* qui-sarafloxacin; other- miloxacin.

847 *WHO:* excludes antibiotics used solely for veterinary use. See reference 41 for full list.

848 *Agriculture:* ami- apramycin\*, neomycin; ceph- cefquinome\*, ceftiofur\*; ion- monensin; qui-  
849 marbofloxacin\*; other- virginiamycin\*, narasin.

850 *Agriculture and Aquaculture:* other- tiamulin, ormetoprim.

851 *Agriculture and WHO:* mac- kanamycin, oleandomycin, spectinomycin, streptomycin; pen-  
852 clocxacillin, dicloxacillin, oxacillin; lin- lincomycin; sul- sulfamethazine, sulfathiazole; other-  
853 tylosin

854 *Aquaculture and WHO:* qui- norfloxacin, ciprofloxacin, pefloxacin, oxolinic acid, nalidixic acid,  
855 flumequine; sul- sulfadiazine, sulfamerazine, sulfamethoxazole; other- chloramphenicol, colistin,  
856 florfenicol, furazolidone, thiamphenicol.

857 *Aquaculture, Agriculture, and WHO:* ami- gentamicin; mac- spiramycin, erythromycin; pen-  
858 amoxicillin, ampicillin, penicillin G; qui- enrofloxacin; sul- sulfadimethoxine, sulfadimidine,  
859 sulfapyridine; tet- chlortetracycline, oxytetracycline, tetracycline; other- trimethoprim.

860 \* These agriculture antibiotics are included in the WHO list but are reserved for veterinary use  
861 only.

862

863 **Figure 4.** Resistance mechanism development in agriculture and aquaculture. Top panel explains  
864 how each row exhibits a resistance mechanism. Each row in chart is an example via a different  
865 resistance mechanism. Each resistance mechanism can allow bacteria to be resistant to many  
866 classes of antibiotics (leftmost column). Antibiotics reported to be used in agriculture and  
867 aquaculture (column 1) can select for resistance mechanisms (column 2) that are sometimes  
868 expressed by common pathogens listed here are examples (column 3). Column 4 shows bacterial  
869 isolates reported in literature that are resistant to the stated antibiotics *and* have been genetically  
870 shown to express the resistance mechanism in that row. AG= isolate from agriculture; AQ=

871 isolate from aquaculture. Reference numbers for the publications are noted with the bacterial  
872 strain. Strain genera are as follows: P = *Pseudomonas*, E = *Escherichia*, S = *Streptococcus*  
873 *pneumoniae/pyogenes* or *Staphylococcus aureus*, N = *Neisseria*, E = *Enterococcus*, H =  
874 *Haemophilus*, K = *Klebsiella*, M = *Moraxella*, and B = *Bacillus*. Resistance mechanisms from  
875 Giedraitiene et al., 2011 (58).

876

877 **Figure 5.** 2007 density maps of cattle, swine, poultry, and combined values of production and  
878 2005 number of aquaculture farms in US. 2007 US density of **A)** cattle, **B)** swine, **C)** poultry,  
879 and **D)** combined production. Maps A-C show animal density by county. For map A cattle  
880 density level: very high = > 17,400; high = 7,300-17,400; moderate = 2,175-7,299; some = <  
881 2,175; none = 0. For map B swine density level: very high = > 48,500; high = 19,000-48,500;  
882 moderate = 9,500-18,999; some = < 9,500; none = 0. For map C poultry density level: very high  
883 = > 2.75 million; high = 1-2.75 million; moderate = 350-999 thousand; some = < 350 thousand;  
884 none = 0. For map D combined production, the total number of livestock across different animals  
885 types was calculated using the US Department of Agriculture definition of a livestock unit,  
886 which is 1000 pounds (454 kg) of live weight. Map D county density level (in livestock units):  
887 very high = > 13,200; high = 5,200-13,200, moderate = 2,000-5,199; some = < 2,000; none = 0.  
888 **E)** 2005 US density of aquaculture production by number of reported farms, with percentage of  
889 farm being freshwater or saltwater indicated in blue pie charts. States without a pie chart contain  
890 fully freshwater operations. (99,100)

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